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TECHNOLOGY, ISLAMABAD



# Seamless Synchronization of Synchronverter with an Unbalanced Grid

by

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A thesis submitted in partial fulfillment for the  
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*Dedicated to my better half, Sadia Hanif*



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**Faisal Waseem**

# *Abstract*

The increasing demand for energy, depletion of conventional fuel reserves, and growing environmental concerns have shifted the focus within the power grid from conventional resources to renewable energy sources. This trend has driven the evolution of the power system towards the smart grid, employing power electronic converters that lack inertia, thereby posing challenges to the voltage and frequency stability of the power system. To address this issue, researchers are promoting the concept of Virtual Synchronous Machines (VSM). VSM allows power electronic converters to replicate the characteristics of traditional synchronous generators, thereby supporting the future power grid. Among VSMs, the synchronverter, an inverter that emulates a real synchronous generator, stands out due to its simplicity and inertial dynamics. Like conventional synchronous generators, it has the ability to maintain frequency and voltage in the power grid.

The synchronverter is capable of staying synchronized with the grid without the need for a dedicated synchronization unit. It can seamlessly do transition from grid-connected to standalone mode without requiring any adjustments to the controller. However, it does require a synchronizer to monitor the grid's phase and frequency as a reference before connecting to the grid. Researchers have put forward several schemes for the initial synchronization of the synchronverter to the grid. Due to the complexity, inefficiency, and decreasing performance of the phase-locked loop (PLL) in a weak grid, alternative PLL-less synchronization methods are proving to be a superior choice. Many PLL-less schemes with hot-seamless synchronization feature are designed for ideally balanced grid, which is not available in all distribution networks. The unbalance in power grid is more common in weak grid areas where single phase loads can easily cause an unbalance.

In this research a method of positive sequence extraction is implemented in a PSE block and then embedded in an existing PLL-less Fourier Analysis based self-synchronizer. The PSE block extracts the instantaneous positive sequence voltages of an unbalanced grid by employing the Fortescue and Lyon transformation method. The proposed synchronizer during pre-synchronizing (a) ensures

hot-seamless transfer of synchronverter from standalone to grid-connected mode (b) significantly reduces the voltage difference and inrush currents while power grid has an unbalance in voltage (c) prevents over current tripping of synchronverter by reducing large inrush currents while power grid has an unbalance in phase angle (d) provides capability of riding through an unbalanced sag during pre-synchronization process. The proposed scheme is designed, validated by simulations in MATLAB/Simulink and compared with the existing PLL-Less Fourier Analysis Based Self-synchronizer.

# Contents

<b>Author’s Declaration</b>	<b>iv</b>
<b>Plagiarism Undertaking</b>	<b>v</b>
<b>Acknowledgement</b>	<b>vi</b>
<b>Abstract</b>	<b>vii</b>
<b>List of Figures</b>	<b>xi</b>
<b>List of Tables</b>	<b>xiii</b>
<b>Abbreviations</b>	<b>xiv</b>
<b>Symbols</b>	<b>xvi</b>
<b>1 Introduction</b>	<b>1</b>
1.1 Renewable Energy Sources and Challenges for Future Power Grid . . .	2
1.2 The Significance of Virtual Inertia . . . . .	4
1.3 Virtual Synchronous Machines (VSMs) . . . . .	5
1.4 Introduction to Synchronverter . . . . .	6
1.5 Motivation . . . . .	7
1.6 Objectives of the Research . . . . .	8
1.7 Research Contribution . . . . .	8
1.8 Thesis Organization . . . . .	9
<b>2 Literature Review</b>	<b>10</b>
2.1 Mathematical Model of Synchronverter . . . . .	10
2.2 Modification in the Original Synchronverter . . . . .	15
2.3 Synchronization with the Grid . . . . .	16
2.3.1 Pre-synchronization with a Balanced Grid . . . . .	18
2.3.1.1 PLL-Based Synchronizer [19] . . . . .	19
2.3.1.2 Virtual Current Based Self-synchronizer [34] . . . . .	20
2.3.1.3 Virtual Resistance Based Synchronization [60] . . . . .	22

2.3.1.4	Differential RMS Voltage Based Self-synchronizer [38]	23
2.3.1.5	Fourier Analysis Based Self-synchronizer [46]	24
2.3.2	Pre-synchronization with an Unbalanced Grid	25
2.3.2.1	Positive Sequence Based Self-synchronizer [61]	26
2.3.2.2	Enhanced PLL Based Synchronizer [64]	28
2.3.2.3	Positive-Negative Sequence Based Self-synchronizer [65]	28
2.3.2.4	Resonant Controller Based Self-synchronizer [40]	30
2.3.2.5	Lyapunov Energy Function Based Direct Power Controller [66]	31
2.4	Applications of Synchronverter	33
2.4.1	Integration of Energy Storage Devices	33
2.4.2	Integration of Solar Panels	33
2.4.3	Integration of Wind Turbines	34
2.4.4	HVDC Systems	34
2.4.5	Static VAR Compensator and STATCOM	35
2.4.6	Recharging Electric Vehicles	35
2.4.7	DC Microgrids	36
2.5	Gap Analysis	37
2.6	Problem Statement and Solution	37
2.7	Research Methodology	38
2.8	Applications of Enhanced Pre-synchronizer	39
<b>3</b>	<b>Design of Enhanced Pre-synchronizer</b>	<b>40</b>
3.1	Fourier Analysis Based PLL-Less Self Synchronizer	41
3.2	Space Vector based Symmetrical Decomposition	43
3.2.1	Symmetrical Components in Frequency Domain	44
3.2.2	Symmetrical Components in Time Domain	46
3.3	Enhanced FA-based PLL-Less Self Synchronizer	48
<b>4</b>	<b>System Design and Simulation Results</b>	<b>50</b>
4.1	Design of Complete System using Enhanced Pre-synchronizer	50
4.2	Results and Discussion	53
4.2.1	Pre-synchronization while the Grid Voltage are Balanced	54
4.2.2	Pre-synchronization while the Grid Voltage are Unbalanced	58
4.2.3	Pre-synchronization while the Grid Phase Angles are Unbalanced	62
4.2.4	Pre-synchronization in Presence of an Unbalanced Sag	66
<b>5</b>	<b>Conclusion</b>	<b>71</b>
5.1	Future Directions	72
	<b>Bibliography</b>	<b>73</b>

# List of Figures

1.1	Future Power Grid [11]	3
1.2	Significance of virtual inertia[11]	4
1.3	VSM dominated future power grid [21]	5
1.4	VSM Topologies [21]	7
2.1	Winding of Synchronous Machine [19]	11
2.2	Synchronverter operation modes [47]	18
2.3	Basic Block diagram of PLL	19
2.4	Block Diagram of Virtual impedance based self-synchronizer [34]	21
2.5	Block Diagram of Virtual resistance-based self-synchronizer [60]	22
2.6	Block Diagram of Differential RMS Voltage Based Self-synchronizer [38]	24
2.7	Block Diagram of Fourier Analysis based PLL-Less self-synchronizer [46]	25
2.8	Virtual current calculation using positive sequences.[61]	27
2.9	Block Diagram of Virtual current based self-synchronizer [61]	27
2.10	Block Diagram of Enhanced PLL based Synchronizer [64]	29
2.11	Block Diagram of Positive-Negative sequence based self-synchronizer [65]	30
2.12	Block Diagram of Resonant controller based self-synchronizer [40]	31
2.13	Block Diagram of DPC based Synchronizer [66]	32
3.1	Block diagram of FA-based PLL-Less self-synchronizer [46]	42
3.2	Pre-synchronization of synchronverter with a balanced grid using FA-based PLL-Less self-synchronizer	43
3.3	Pre-synchronization of synchronverter with an unbalanced grid using FA-based PLL-Less self-synchronizer	44
3.4	Block Diagram of Time-shifting operator implementation (a) $\alpha$ (b) $\alpha^2$ [87].	48
3.5	Block Diagram of Proposed enhanced FA-based PLL-Less self synchronizer.	49
4.1	Block Diagram of Complete system with enhanced FA-based self-synchronizer.	51
4.2	Complete system with enhanced pre-synchronizer	52
4.3	Block Diagram of System.	54
4.4	Grid voltages	55

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4.5	RMS value of instantaneous difference between synchronverter voltage and grid voltages . . . . .	56
4.6	synchronverter rms currents . . . . .	56
4.7	Real and Reactive power drawn from synchronverter . . . . .	57
4.8	Synchronverter rms voltages . . . . .	57
4.9	Synchronverter and nominal frequency . . . . .	58
4.10	Local Load current . . . . .	58
4.11	Grid voltages . . . . .	59
4.12	RMS value of instantaneous difference between synchronverter voltage and grid voltages . . . . .	60
4.13	Synchronverter currents . . . . .	60
4.14	Real and Reactive power drawn from synchronverter . . . . .	61
4.15	Synchronverter voltages . . . . .	61
4.16	Synchronverter and nominal frequency . . . . .	62
4.17	Local Load current . . . . .	62
4.18	Grid voltages . . . . .	63
4.19	RMS value of instantaneous difference between synchronverter voltage and grid voltages . . . . .	64
4.20	Synchronverter currents . . . . .	64
4.21	Real and Reactive power drawn from synchronverter . . . . .	65
4.22	Synchronverter voltages . . . . .	65
4.23	Synchronverter and nominal frequency . . . . .	66
4.24	Local Load currents . . . . .	66
4.25	Grid voltages of Phase a . . . . .	67
4.26	RMS value of instantaneous difference between synchronverter voltage and grid voltages . . . . .	67
4.27	Synchronverter currents . . . . .	68
4.28	Real and Reactive power drawn from synchronverter . . . . .	68
4.29	Synchronverter Voltages . . . . .	69
4.30	Synchronverter and nominal frequency . . . . .	69
4.31	Local Load currents . . . . .	70

# List of Tables

2.1	Summary of modification in synchronverter . . . . .	17
2.2	Comparison of pre-synchronization techniques of synchronverter for a balanced grid . . . . .	26
2.3	Comparison of pre-synchronization techniques of synchronverter for an unbalanced grid . . . . .	32
4.1	Parameters for simulations. . . . .	55
4.2	Parameters of unbalance grid for this scenario. . . . .	59
4.3	Parameters of unbalance grid for this scenario. . . . .	63

# Abbreviations

<b>AEDB</b>	Alternative Energy Development Board
<b>DG</b>	Distributed Generation
<b>DFIG</b>	Doubly-Fed Induction Generator
<b>DRMSV</b>	Differential Root Mean Square Voltage
<b>EV</b>	Electric Vehicle
<b>ESD</b>	Energy Storage Device
<b>ESS</b>	Energy Storage System
<b>EPLL</b>	Enhanced Phase-Locked Loop
<b>PSE</b>	Positive Sequence Extractor
<b>HVDC</b>	High Voltage Direct Current
<b>IPP</b>	Independent Power Producer
<b>LVDC</b>	Low Voltage Direct Current
<b>MPP</b>	Maximum Power Point
<b>MPPT</b>	Maximum Power Point Tracking
<b>NIST</b>	National Institute of Silicon Technology
<b>NTDC</b>	National Transmission and Dispatch Company
<b>NEPRA</b>	National Electric Power Regulatory Authority
<b>PV</b>	Photo-Voltaic
<b>PHEV</b>	Plug-in Hybrid Electric Vehicle
<b>PEC</b>	Power Electronic Converter
<b>PLL</b>	Phase-Locked Loop
<b>PWM</b>	Pulse Width Modulation
<b>POV</b>	Point-of-View

<b>PI</b>	Proportional Integral
<b>RER</b>	Renewable Energy Resource
<b>ROCOF</b>	Rate-of-Change-of-Frequency
<b>RMS</b>	Root Mean Square
<b>SG</b>	Conventional Synchronous Generator
<b>SM</b>	Synchronous Machine
<b>SPP</b>	Solar Power Plant
<b>SPC</b>	Synchronous Power Controller
<b>SOC</b>	State-of-Charge
<b>STATCOM</b>	Static Compensator
<b>SVC</b>	Static Var Compensator
<b>VSM</b>	Virtual Synchronous Machine
<b>VSG</b>	Virtual Synchronous Generator
<b>VSC</b>	Voltage Source Converter
<b>VCO</b>	Voltage Controlled Oscillator
<b>V2G</b>	Vehicle-to-Grid

# Symbols

Symbol	Name	Unit
$\Phi_s$	Stator flux linkage	
$\Phi_f$	Rotor flux linkage	
$i_a, i_b, i_c$	Stator windings currents	
$i_f$	Excitation current	
$M_f$	Maximum mutual inductance between stator and rotor	
$\psi$	Product of mutual inductance and excitation current	
$T_e$	Induced electric torque	
$P_s$	Synchronverter output active power	W
$P_{ref}$	Reference active power	W
$Q$	Synchronverter output reactive power	VAR
$Q_{ref}$	Reference reactive power	VAR
$\omega_s$	Rotor angular speed	rad/s
$\theta_s$	Rotor angle	Deg
$e_{abc}$	Induced electromotive force	V
$v_g$	Grid voltage	V
$v_s$	Synchronverter output voltage	V
$i_s$	Synchronverter output current	A
$L_1, L_2$	Filter inductors	mH
$C_f$	Filter capacitor	$\mu$ F
$R_s$	Series resistor	$\Omega$
$V_{DC}$	DC bus voltage	V
$V_n$	Nominal phase voltage	V

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$S_n$	Nominal power	kVA
$f_{sw}$	Switching frequency	kHz
$f_n$	Nominal frequency	Hz
$f_s$	Synchronverter frequency	Hz
$D_f$	Damping coefficient	
$J$	Virtual inertia	
$D_v$	Voltage droop coefficient	
$K$	Integrator gain	
$\tau_f$	Frequency loop time constant	s
$\tau_v$	Voltage loop time constant	s
$\omega_{res}$	Resonance frequency	rad/s
$V_d$	RMS difference between $v_o$ and $v_g$	V
$K_f, I_f$	PI gains	
$K_v, I_v$	PI gains	
$V_{Th}$	Threshold voltage	V
$\omega_s$	Synchronizer output	rad/s
$v_s$	Synchronizer output	V

# Chapter 1

## Introduction

The consistent and swift expansion of solar photovoltaic and wind power generation capacity in the past few decades seems to be unparalleled. High rates of growth seem expected to continue, as these technologies are now available in many regions of the world at prices competitive with or lower than those of incumbent fossil fuel companies [1, 2]. Consequently, the future power grid will be a Renewable Energy Resources (RERs) dominant grid.

Renewable energy sources are natural resources or processes that can produce energy that are, by human criteria, renewable quicker than they can be utilized. Fossil fuels, such as coal, peat, oil, natural gas, and uranium ore, are replaced by renewable energy sources (RES). These are also renewable, but it takes a lot longer, and predictions indicate that they may run out quite quickly. Moreover, when they burn, carbon dioxide is released into the sky, accelerating the greenhouse effect and global warming. However, the majority of renewable energy sources are categorized as "green energy," or non-polluting, environmentally benign energy [3]. Solar energy is one of the leading RERs due to the availability of sunlight on the earth's surface, easy installation and maintenance, lack of wear and tear, quiet operation, and zero environmental pollution [4, 5].

This chapter discusses technical issues, challenges, and modern solutions related to inverter-dominated power grids. It also covers the motivation behind the research work, research objectives, and contributions to the research community.

## 1.1 Renewable Energy Sources and Challenges for Future Power Grid

As renewable energy sources (RERs) become more prevalent, traditional synchronous generator (SG) dominated power grids are transitioning to inverter-dominated grids, as shown in Figure 1.1. According to the statistics of year 2023 [6] China is leading the world in generating 31.7% of its electricity from photovoltaic (PV), similarly it reached upto 16% in USA, Japan's PV share is 8.4%, India is generation from PV by 6.6% and Germany ia at 4.7%. A similar trend is observed in Pakistan. The Ministry of Science and Technology in Pakistan has taken significant steps in developing PV panels over the last decade [7].

The National Institute of Silicon Technology (NIST) has developed PV modules and other infrastructure for solar power generation. In the year 2017-18, the National Transmission and Dispatch Company (NTDC) procured 400 MW from solar and 985 MW from wind Independent Power Producers (IPPs) [8]. During 2020-2021 a total of 8417 net metering licenses with the cumulative capacity of 145.881 megawatt were issued to distribution companies. Additionally, during the same period National Electric Power Regulatory Authority (NEPRA) [9] issued nineteen (19) new generation licenses with the cumulative installed capacity of 50.0264 megawatt to PV companies. The Alternative Energy Development Board (AEDB) is currently pursuing twenty-two solar power projects with a cumulative capacity of 890.80 megawatt [10]. The Quaid-e-Azam Solar Power Park in Bahawalpur is one of the largest solar power plant in Pakistan [11].

The adoption of Plug-in Hybrid Electric Vehicles (PHEVs) and Electric Vehicles (EVs) is increasing worldwide. As a result, there is a growing presence of battery

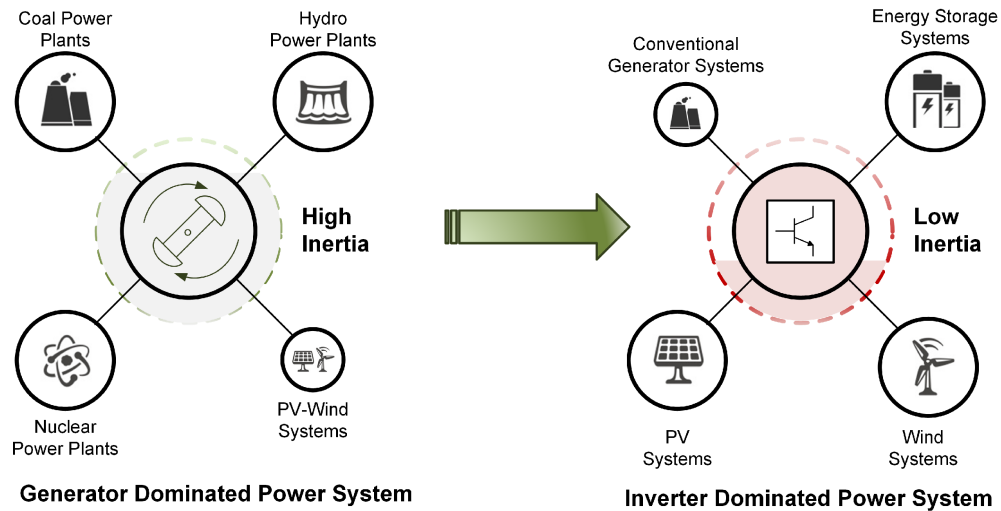


FIGURE 1.1: Future Power Grid [11]

chargers in the power grid [12]. Renewable energy resources (RERs), Energy Storage Devices (ESDs), EVs, and other technologies are connected to the grid using solid-state Power Electronic Converters (PECs). Additionally, modern loads are also connected through PECs [13]. The increasing use of these inertia-less converters in the traditional grid creates challenges for grid dynamics and stability [14]. During faults or load variations, the frequency Nadir and the Rate of change of Frequency (ROCOF) are likely to be higher due to lower rotating inertia in the power grid [15]. The frequency Nadir corresponds to the minimum value of frequency reached during the transient period before a frequency event occurs. The intermittent and non-dispatchable nature of renewable energy, along with high oscillations, also impacts grid stability. Grid stability refers to the power system's ability to return to a steady state after a disturbance. The high penetration of PV panels presents technical challenges and opportunities for power system engineers in operating the future power grid [16].

Grid disturbances such as short circuits, voltage sags, dips, and frequency changes due to frequent switching of heavy loads and generation units interact with the PECs, further increasing complexity and uncertainty in operating conditions [17]. The decreasing inertia of the power system, stemming from the integration of more PV panels into the power grid, poses a major threat to frequency stability

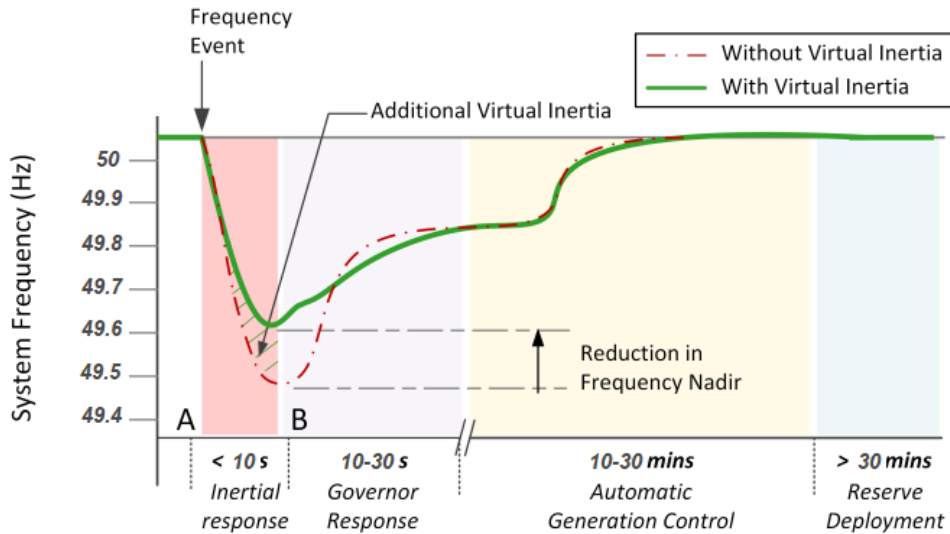


FIGURE 1.2: Significance of virtual inertia[11]

[18]. Currently, the trend in integrating PV panels involves tracking the Maximum Power Point (MPP) and injecting the maximum power into the grid. This approach is suitable only when PECs represent a small share of the overall grid capacity. However, with renewable energy resources expected to supply a significant portion of the grid's capacity in the future, the casual behavior of PECs will no longer be acceptable [19].

## 1.2 The Significance of Virtual Inertia

The power grid is transitioning towards an inverter-dominated system, which is leading to a reduction in the rotation inertia of the power system. This reduced inertia results in a lower frequency Nadir and a higher rate of change of frequency (ROCOF), which can cause frequency relays to trip and potentially lead to black-outs [20]. To address this issue, it is necessary to incorporate virtual inertia in power electronic converters (PECs) to enhance system stability and facilitate the integration of the renewable energy resources (RERs) in future power networks.

Figure 1.2 illustrates the transient response of the power system following a frequency event, showing that the frequency Nadir of the system without virtual inertia is lower compared to the system with virtual inertia, and the ROCOF is

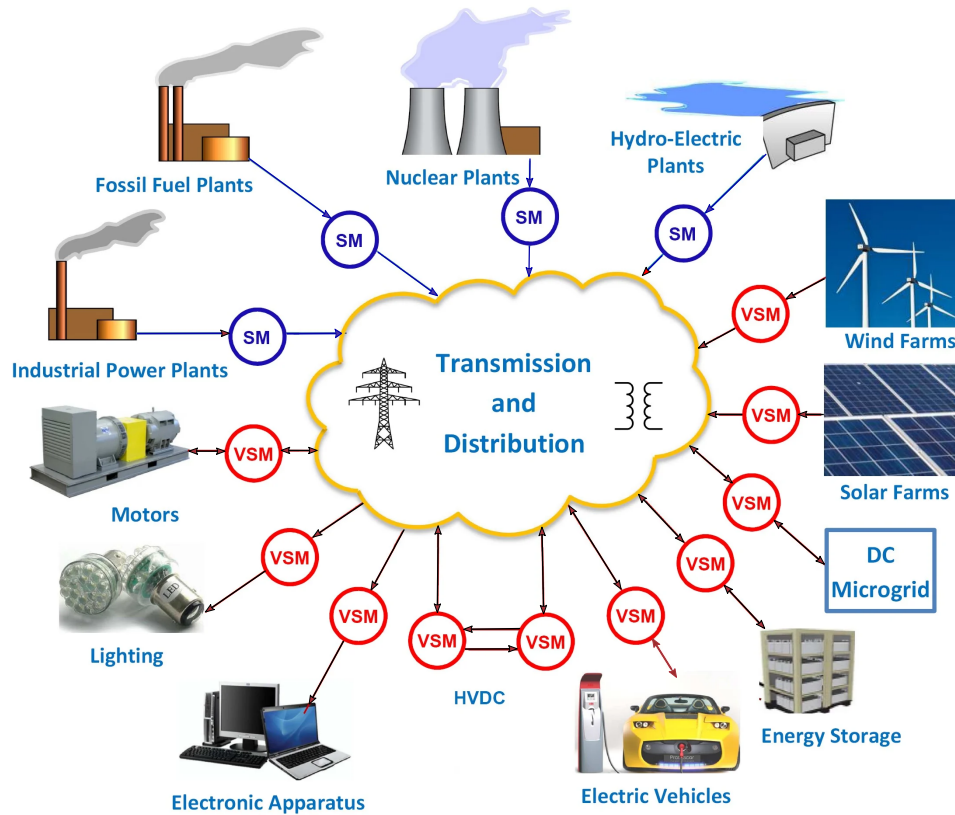


FIGURE 1.3: VSM dominated future power grid [21]

higher in the system without virtual inertia. To incorporate virtual inertia in inverter-dominated power grids, researchers have proposed the idea of replicating essential features of synchronous machines in PECs. These PECs, referred to as Virtual Synchronous Machines (VSMs), behave like synchronous machines and provide support to the grid during frequency and voltage events[21]. Although these units are physically inverters, their behavior resembles that of synchronous machines.

With the use of VSMs, the future power grid can be depicted as shown in Figure 1.3.

### 1.3 Virtual Synchronous Machines (VSMs)

The virtual synchronous machine can function as a virtual synchronous motor or a virtual synchronous generator, depending upon the direction of exchange of energy

between the converter and the grid [22]. When the Power Electronic Converter (PEC) is operating as a rectifier, it behaves like a synchronous motor, and when it operates as an inverter, it behaves like a synchronous generator. Many VSM topologies incorporate a comprehensive mathematical model of SM [23]. These topologies include the IEPE's topology, VISMA, KHI Lab's topology [24] and Synchronverter [19]. Mathematical modeling offers various advantages over real SMs, as the parameter values are not constrained by the physical design. A wide range of parameter values can be used in virtual machines that may not be feasible in real machines. These parameters can also be modified during operation, unlike real machines [25]. Furthermore, the losses associated with virtual machine impedances are not actual losses and are sent back to the dc bus, thus having no effect on system efficiency [19]. Another implementation of VSM involves the application of rotor swing equation in real SMs, while ignoring detailed mathematical modeling. Ise Lab's VSM [21] is a well-known example following this concept. Other well-known topologies, including Virtual Synchronous Generator (VSG) [21], Synchronous Power Controller (SPC) [26], and Virtual Synchronous Controller (VSYNC) [27], model the power balance relations of real SMs and simulate inertia. The classification of different VSM topologies is shown in Figure 1.4.

## 1.4 Introduction to Synchronverter

Out of all the VSMs proposed, synchronverter is accepted as the best replication of a synchronous generator in terms of its performance and simple design [19]. Similar to synchronous generators, the synchronverter has the virtual inertia which helps to keep it synchronized with the power grid without requiring a dedicated synchronization device [28]. It can operate in grid-connected and stand-alone mode without any changes to the circuit of its controller. Additionally, it supports system voltage and frequency, especially in weak grids [29]. A weak grid is defined as a power grid with a low inertia and low short-circuit ratio. The synchronverter can mimic all essential features of a synchronous machine that are necessary for power grids of the future [19]. Similar to a synchronous generator, careful attention

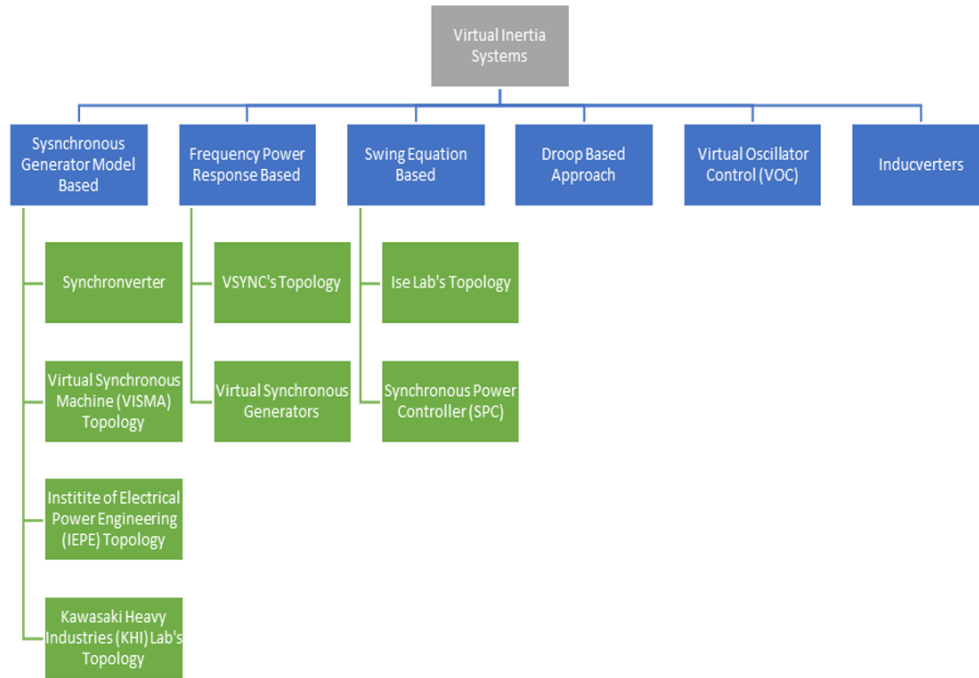


FIGURE 1.4: VSM Topologies [21]

is needed for the initial synchronization of the synchronverter with the power grid to prevent high current and power transients at grid connection. The primary focus of this research is on the initial synchronization of a synchronverter with an unbalanced grid which has a steady state unbalance among its voltages.

## 1.5 Motivation

As discussed in section 1.1, the penetration of Renewable Energy Resources (RERs) is increasing in power grids worldwide, including Pakistan. This trend of installing more RERs is leading to power grids being dominated by conventional inverters. These inertia-less Power Electronic Converters (PECs) are needed to mimic synchronous generators to support frequency and voltage in the future power grid. One of the Virtual Synchronous Machines, known as the Synchronverter, provides both frequency and voltage support to the grid. Although literature [19] claims the synchronverter to be the best replication of a synchronous generator, there is always room for improvement. The synchronverter can stay connected with the grid without a need of a dedicated synchronization unit but it requires

a pre-synchronizer for its initial synchronization. For this purpose, the PLL-Less schemes are more popular than PLL-based schemes due higher complexity and uncertainty during harsh grid conditions. Most of the PLL-Less schemes having hot-seamless capability are proposed for balanced grid only. Therefore, a PLL-Less scheme is needed for hot-seamless initial synchronization of synchronverter with an unbalanced grid which is not available in literature.

## 1.6 Objectives of the Research

In order to incorporate renewable energy resources (RERs) into the future power grid, virtual synchronous machines (VSMs) are a preferable option over inertia-less power electronic converters (PECs). This research seeks to improve the performance of the pre-synchronization of synchronverters when integrating with an unbalanced power grid. The main objectives of this research are as follows:

1. Enhance the existing Fourier Analysis-based PLL-Less self-synchronizer to improve the pre-synchronization of the synchronverter with an unbalanced grid, ensuring uninterrupted power supply to local loads during the pre-synchronization process.
2. Evaluate and compare the performance of enhanced pre-synchronizer with the existing Fourier Analysis-based PLL-Less self-synchronizer.

## 1.7 Research Contribution

The main contributions of this research work is as follows:

- An instantaneous positive sequence extraction block is embedded in an existing FA-based PLL-Less self-synchronizer for achieving hot-seamless pre-synchronization of synchronverter with an unbalanced grid.

## 1.8 Thesis Organization

The structure of upcoming chapters is as follows:

Chapter 2 covers the literature review of synchronverter. It includes derivation of the mathematical model of synchronverter, its pre-synchronization techniques with balanced and unbalanced grid. The application potential of synchronverter is also discussed. Based on the outcome of review a gap analysis and problem statement are formulated. Research methodology and technique used in work is also presented. Finally addressed the applications of proposed scheme.

In Chapter 3, a brief introduction to the existing FA-based PLL-Less self synchronizer and its limitations against its usage with unbalanced grid is given. Further the implementation of extracting instantaneous symmetrical components of an unbalanced grid is discussed. Finally, a modification in existing pre-synchronization scheme is presented.

In Chapter 4, the simulation of synchronverter with enhanced pre-synchronizer is done out in MATLAB/Simulink in different scenarios of grid. The results of existing and enhanced schemes are compared to evaluate the proposed enhanced pre-synchronizer.

Conclusions is given in Chapter 5 and the future directions are also discussed for encouragement of research in this field.

# Chapter 2

## Literature Review

Over the past decade, researchers have proposed various Virtual Synchronous Machine (VSM) topologies. Each of these topologies has different practical implementations and advantages.

The Synchronverter is proved to be the best replica of a synchronous machine because it represents the same dynamics from the grid's point of view [19]. This topology has been well developed and validated in various applications in literature [30]. The upcoming sections will provide a review of literature related to the Synchronverter.

This chapter presents a literature review that will be used to identify research gaps and formulate the problem statement. Finally, the methodology used in this research and its potential applications in the power grid will be discussed at the end of this chapter.

### 2.1 Mathematical Model of Synchronverter

In the literature, mathematical model of the synchronous machine (SM) is found in [31, 32]. [19] considers a two-pole round-rotor synchronous machine for the synchronverter, which is also followed in this study. For simplicity, losses of core

and the friction losses are not considered in the design. Additionally, balance conditions with a positive phase sequence are assumed. The variation in the rotor and stator inductances due to position of rotor are ignored. Furthermore, in this study, the damper winding is not taken into account .

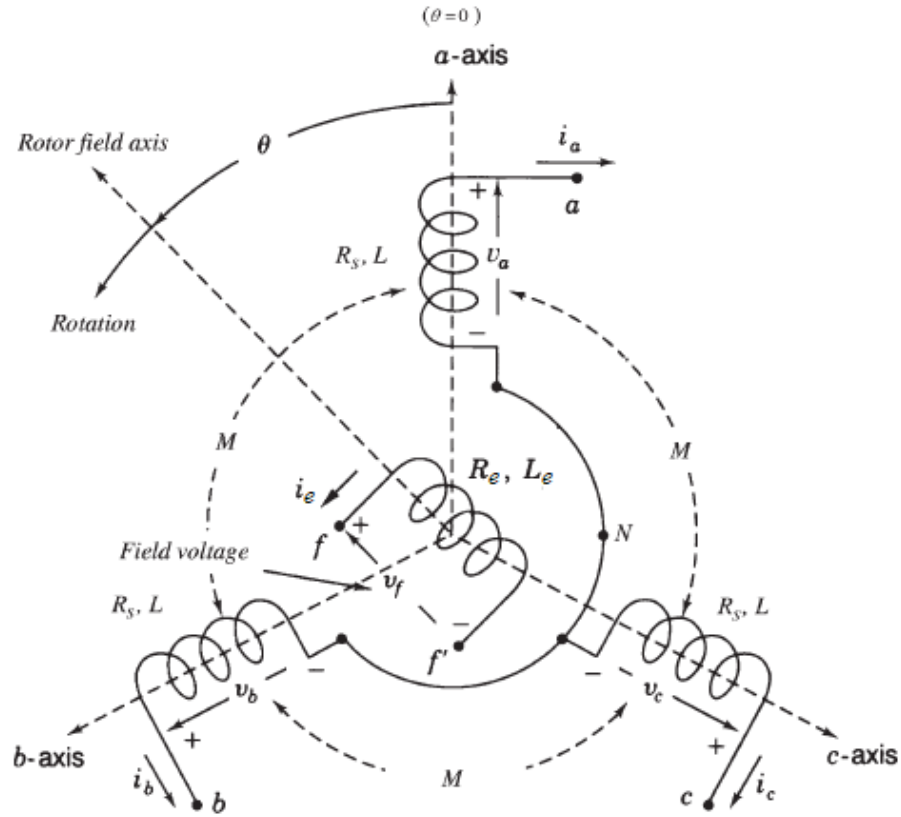


FIGURE 2.1: Winding of Synchronous Machine [19]

In Figure 2.1 the winding structure of a synchronous machine is illustrated. The stator winding consists of coils with self inductance  $L_s$ , resistance  $R_s$  and the mutual inductance  $M$ . The self-inductance and resistance of the rotor excitation coil is designated by  $L_f$  and  $R_f$  respectively. The mutual inductance between rotor and stator excitation coils is a function of its rotor angle  $\theta_f$  and be defined as:

$$\begin{aligned}
 M_{af} &= M_f \cos(\theta_f) \\
 M_{bf} &= M_f \cos\left(\theta_f - \frac{2\pi}{3}\right) \\
 M_{cf} &= M_f \cos\left(\theta_f - \frac{4\pi}{3}\right)
 \end{aligned} \tag{2.1}$$

The flux linkages of stator is expressed as:

$$\begin{aligned}
 \phi_a &= Li_a - Mi_c - Mi_b + M_{af}i_f \\
 \phi_b &= Li_b - Mi_c - Mi_a + M_{bf}i_f \\
 \phi_c &= Li_{sc} - Mi_b - Mi_a + M_{cf}i_f
 \end{aligned} \tag{2.2}$$

Where  $i_a, i_b,$  and  $i_c$  denote the phase current in stator winding and  $i_f$  is field excitation current in the rotor winding.

Since the conditions are assumed to be balanced, the following equation holds because a neutral is absent:

$$i_a + i_b + i_c = 0. \tag{2.3}$$

When the neutral is present, the sum of the three phase currents equals to  $i_n$ . Since the design process assumes a balanced condition, the neutral current is also assumed to be 0. By using Equations 2.1 to 2.3, we can simplify the stator flux linkages as:

$$\begin{aligned}
 \phi_a &= (L + M)i_a + M_f i_f \cos(\theta_s) \\
 \phi_b &= (L + M)i_b + M_f i_f \cos(\theta_s - \frac{2\pi}{3}) \\
 \phi_c &= (L + M)i_c + M_f i_f \cos(\theta_s - \frac{4\pi}{3})
 \end{aligned} \tag{2.4}$$

Simplifying the Equation 2.4 yields:

$$\begin{bmatrix} \phi_a \\ \phi_b \\ \phi_c \end{bmatrix} = (L + M) \begin{bmatrix} i_a \\ i_b \\ i_c \end{bmatrix} + M_f i_f \begin{bmatrix} \cos \theta_s \\ \cos(\theta_s - \frac{2\pi}{3}) \\ \cos(\theta_s - \frac{4\pi}{3}) \end{bmatrix} \tag{2.5}$$

For simplification, let  $L + M = L_s$ , and the flux linkages of stator equal to  $\Phi_s$  as:

$$\Phi_s = \begin{bmatrix} \phi_a \\ \phi_b \\ \phi_c \end{bmatrix} \tag{2.6}$$

Let stator currents are designated by  $i_s$  as:

$$i_s = \begin{bmatrix} i_a \\ i_b \\ i_c \end{bmatrix} \quad (2.7)$$

Also, let:

$$\widetilde{\cos\theta}_s = \begin{bmatrix} \cos\theta_s \\ \cos(\theta_s - \frac{2\pi}{3}) \\ \cos(\theta_s - \frac{4\pi}{3}) \end{bmatrix} \quad (2.8)$$

The equation 2.4 can be expressed as:

$$\Phi_s = L_s i_s + M i_f \widetilde{\cos\theta}_s \quad (2.9)$$

The rotor flux linkage is expressed as.

$$\Phi_f = L_f i_f + M_{af} i_a + M_{bf} i_b + M_{cf} i_c \quad (2.10)$$

Using the mutual inductance values from Equation 2.1 to simplify the rotor flux linkage yields:

$$\begin{aligned} \Phi_f &= L_f i_f + M \cos(\theta_s) i_a + M \cos(\theta_s - \frac{2\pi}{3}) i_b + M \cos(\theta_s - \frac{4\pi}{3}) i_c \\ &= L_f i_f + M \begin{bmatrix} i_a \\ i_b \\ i_c \end{bmatrix}^T \begin{bmatrix} \cos\theta \\ \cos(\theta_s - \frac{2\pi}{3}) \\ \cos(\theta_s - \frac{4\pi}{3}) \end{bmatrix} \end{aligned} \quad (2.11)$$

The rotor flux linkage found in the previous equation can be expressed as follows with additional simplification.

$$\Phi_f = L_f i_f + M \langle i_s, \widetilde{\cos\theta}_s \rangle \quad (2.12)$$

Where,  $\langle i_s, \widetilde{\cos\theta}_s \rangle$  is the usual dot product of the stator current  $i_s$  and  $\widetilde{\cos\theta}_s$  in the real numbers domain.

The rate of change of flux in stator can be written as:

$$\left. \frac{d\Phi_s}{dt} \right|_{i_s, i_f \text{ constant}} = -\dot{\theta}_s M i_f \widetilde{\sin\theta_s} \quad (2.13)$$

An electromotive force is induced by the rate at which stator flux changes in stator coils and can be expressed by Lenz's Law as [32]:

$$\begin{aligned} e &= -\frac{d\Phi_s}{dt} \\ &= \dot{\theta}_s M i_f \widetilde{\sin\theta_s} \end{aligned} \quad (2.14)$$

Where,  $\dot{\theta} = \omega$  in radians per second is the angular speed of synchronous machine's rotor.

Energy that is stored in the magnetic field of synchronous machine can be expressed as.

$$\begin{aligned} E &= \frac{1}{2} \langle i_s, \Phi_s \rangle + \frac{1}{2} i_f \Phi_f \\ &= \frac{1}{2} \langle i_s, L_s i_s + M_f i_f \widetilde{\cos\theta_s} \rangle + \frac{1}{2} i_f (L_f i_f + M_f \langle i_s, \widetilde{\cos\theta_s} \rangle) \\ &= \frac{1}{2} \langle i_s, L_s i_s \rangle + \frac{1}{2} M_f i_f \langle i_s, \widetilde{\cos\theta_s} \rangle + \frac{1}{2} L_f i_f^2 + \frac{1}{2} M_f i_f \langle i_s, \widetilde{\cos\theta_s} \rangle \\ &= \frac{1}{2} \langle i_s, L_s i_s \rangle + \frac{1}{2} L_f i_f^2 + M_f i_f \langle i_s, \widetilde{\cos\theta_s} \rangle \end{aligned} \quad (2.15)$$

By measuring the rate at which the stored energy in the magnetic field changes in relation to the rotor rotation, one may determine the induced electric torque of the synchronous machine. [33].

$$T_e = -\frac{\partial E}{\partial \theta_s} = M_f i_f \langle i_s, \widetilde{\sin\theta_s} \rangle \quad (2.16)$$

The induced electric torque obtained from above equation can be used to calculate the active power  $P$  produced by the synchronous machine and angular speed of rotor can be calculated as follows [32]:

$$P = \omega T_e = \dot{\theta}_s M_f i_f \langle i_s, \widetilde{\sin\theta_s} \rangle \quad (2.17)$$

and the reactive power  $Q$  is written as.

$$Q = \dot{\theta}_s M_f i_f \left\langle i_s, \widetilde{\sin}(\theta_s - \frac{\pi}{2}) \right\rangle = -\dot{\theta}_s M_f i_f \left\langle i_s, \widetilde{\cos}\theta_s \right\rangle \quad (2.18)$$

For simplification of the Equations 2.14, 2.16 and 2.18, the product of maximum value of mutual inductance between rotor and stator excitation coils and the field excitation current can be supposed as  $M_f i_f = \psi$ . This gives:

$$e_{abc} = \omega_s \psi \widetilde{\sin}\theta_s \quad (2.19)$$

$$T_e = \psi \left\langle i_s, \widetilde{\sin}\theta_s \right\rangle \quad (2.20)$$

$$Q = -\omega_s \psi \left\langle i_s, \widetilde{\cos}\theta_s \right\rangle \quad (2.21)$$

Where,  $\omega$  is the angular speed of rotor (radians per second). Hence the synchronverter model is based on Equations 2.19 to 2.21.

## 2.2 Modification in the Original Synchronverter

Numerous changes to the original synchronverter have been described in the literature as improvements. Table 2.1 shows the summary of some modifications. In [34], a self-synchronized synchronverter is presented to allow synchronverter initial synchronization with grid independent of Phase-Locked Loop (PLL). A feature of regulating DC bus voltage is added in original synchronverter in [35].

Five modifications are proposed in original synchronverter to improve its stability and dynamic performance in [36]. These modifications included field current control, addition of virtual losses in power formulas, and addition of virtual inductor and virtual capacitor in original synchronverter.

[37] proposes a fault riding through capability in which a synchronverter's control shifts to hysteresis mode to reduce the inrush currents during faults while supplying the grid with the necessary amount of real and reactive power. Single-phase counterpart of synchronverter is presented in [38] for residential roof-top DGs. [39]

proposes a modification in original synchronverter to keep its output voltage and frequency within specified ranges. It also added the capability of riding-through a fault during unsymmetrical grid faults.

For improving the performance of synchronverter with unbalanced grid a resonant controller based synchronverter has been designed in [40]. References [41, 45] adjusted the transient response speed of synchronverter without affecting steady-state performance by using damping correction loop. In [42] the model of damping winding of synchronous machine is included in original synchronverter for attenuation of oscillations in power grid. A fault tolerant synchronverter has been developed in [43]. An improvement has been done in [44] to reduce the harmonic distortion in grid current due to voltage amplitude measurement errors in original synchronverter.

## 2.3 Synchronization with the Grid

The synchronverter is capable of staying synchronized with the grid without a requirement of a separate synchronization unit. It can seamlessly do transition from grid connected to standalone mode not requiring adjustments to the controller. However, it does require a pre-synchronizer to monitor the frequency and phase of the power grid as a reference prior to grid connection [46] [38].

The operational modes of the synchronverter and the transition between them is shown in Figure 2.2. It is clear from this figure that a separate synchronization unit is only needed for its initial synchronization with the grid. Several PLL-Less techniques have been developed by researchers for pre-synchronization of the synchronverter.

Most of these schemes are designed by considering an ideally balanced grid while relatively a little work has been done for pre-synchronization with an unbalanced grid. Moreover a synchronization scheme must possess a hot-seamless capability in such applications where critical loads are present.

TABLE 2.1: Summary of modification in synchronverter

Modifications	Year	Details	References
Self-synchronization using virtual impedance	2014	Proposes self-synchronization without PLL using virtual current instead of actual grid current	[34]
Voltage regulation of DC-Bus	2014	Proposed bidirectional with regulation of DC bus voltage. It also embedded fault-ride-through capability in synchronverter.	[35]
Virtual Capacitor and Virtual Inductor in original synchronverter	2017	Proposed virtual capacitor, virtual inductor and current controller for improved dynamic performance and stability of synchronverter	[36]
Controller Mode switching	2017	Protection against the transient currents from synchronverter during grid faults	[37]
Single-phase Synchronverter design	2018	Designed a single phase synchronverter having PLL-Less initial synchronization capability	[38]
Bounded Voltage and Frequency	2018	Proposed scheme for keeping Voltage and Frequency of synchronverter within limits	[39]
Resonant controller based synchronverter	2019	A resonant controller embedded in synchronverter for improving its response with unbalanced grid	[40]
Decoupled response	2022	Decoupled response for inertia from the regulation of primary frequency	[41]
Virtual damper winding	2022	Attenuation of power system oscillations	[42]
Current-Controlled Synchronverter	2023	A Grid Fault Tolerant Grid Forming Inverter	[43]
Fast Current Loops	2023	Reduces the harmonic distortion and fluctuation in amplitude of the grid-side currents that produces due to grid voltage measurement errors	[44]

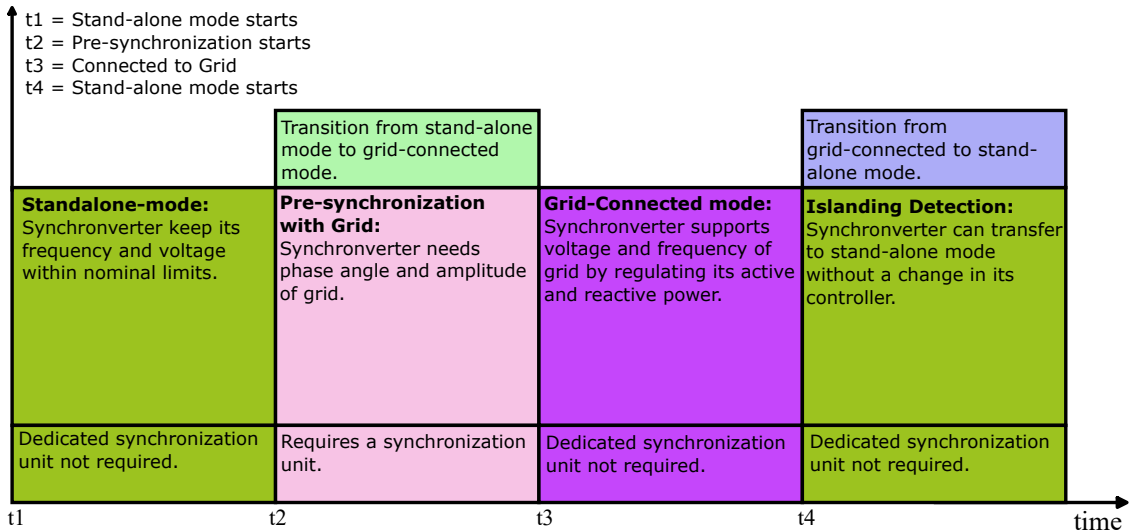


FIGURE 2.2: Synchronverter operation modes [47]

Hot-seamless capability defines that the supply to critical loads like hospital or military installations must not be interrupted during pre-synchronization process. A few PLL-Less schemes has been reported in literature [46],[38] which can achieve hot-seamless synchronization with a balanced grid but no PLL-Less scheme is designed with hot-seamless capability for unbalanced grid situation.

The strategies designed for initial synchronization of synchronverter with a balanced and unbalanced power grid are reviewed in this section. Table 2.2 and 2.3 shows the comparison among synchronization systems designed for balanced and unbalanced grid respectively.

### 2.3.1 Pre-synchronization with a Balanced Grid

A balanced grid is a three-phase power system where the following conditions are met:

1. Voltage Magnitude: The magnitude of voltage for all three phases is same.
2. Phase difference: The phase angle is 120 degrees between any two phases.
3. Currents: The magnitude of current is equal in each phase.

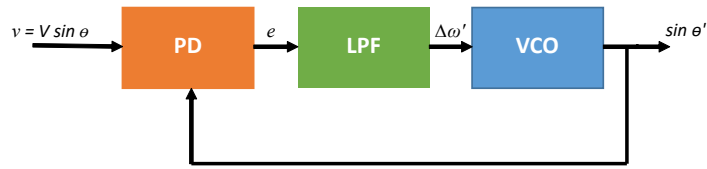


FIGURE 2.3: Basic Block diagram of PLL

This ideal condition ensures reduced losses, efficient power transfer and minimal equipment stress.

The schemes proposed in literature for pre-synchronization of synchronverter with a balanced grid are briefly explained in following sub-section.

### 2.3.1.1 PLL-Based Synchronizer [19]

Initially the Phase-locked loop (PLL) was used for the pre-synchronization of the synchronverter in [19]. Later on, same technique was used in [48–50] to achieve PLL-based initial synchronization with a balanced grid.

As shown in Figure 2.3 a basic PLL consist of a control loop having a phase detector, a low pass filter and a voltage controlled oscillator for estimation of phase angle of the signal. It can additionally provide the frequency but cannot give any information on amplitude of the signal.

When PLL is utilized extensively in the electrical grid, it has some limitations and downsides, which are discussed below:

- Multiple PLLs operating in parallel contend with each other and can cause loss of synchronism, instability and increased complexity. [51].
- Tuning of the PLL parameters is quite difficult for achieving an adequate performance [52].

- The Voltage Controlled Oscillator (VCO) can affect the stability and performance of PLL as it is very sensitive to noise, switching transient and frequency and voltage variations. [53].
- PLL reduces the performance of PEC owing to the existence of steady-state errors, frequency changes and voltage sags, harmonic distortions, specifically when PEC is linked to a weak grid [54].

Due to the above-mentioned drawbacks, PLL-less synchronization approaches are being addressed in literature. The upcoming section presents an overview of PLL-Less self synchronizing schemes.

### 2.3.1.2 Virtual Current Based Self-synchronizer [34]

First PLL-less self-synchronization approach for pre-synchronization of synchronverter is proposed in [34]. Same approach is implemented for a wind farm integration into grid in [55] for a single phase PV synchronverter in [56], for parallel operation of synchronverters in [57], and for droop based controller in [58]. As shown in Figure 2.4, this is a virtual impedance based self-synchronization approach introduced in original synchronverter. During the process of pre-synchronization instead of actual grid current, a virtual current is fed to the synchronverter control. This virtual current can be represented as:

$$i_s = \left(\frac{1}{L_s + R}\right)(e_{abc} - v_g) \quad (2.22)$$

Where  $e$  is the induced machine electromotive force, defined in Eq. 2.14,  $v_g$  is grid voltage and  $R$  and  $L$  are the virtual resistor and virtual inductor respectively. During synchronization process, the references of active and reactive power are set to 0 and frequency and voltage droops are disabled. Synchronization is achieved when the virtual current and  $e - v_g$  become zero. A PI controller is used in frequency droop loop this to regulate the output of damping coefficient block and generate a new reference frequency.



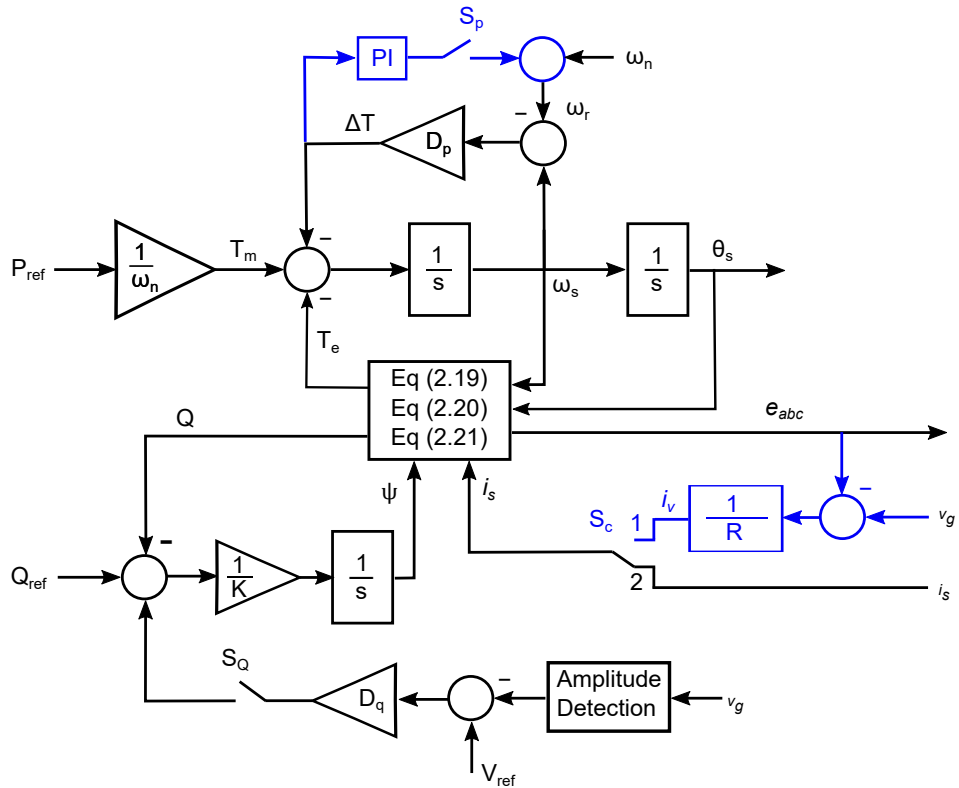


FIGURE 2.5: Block Diagram of Virtual resistance-based self-synchronizer [60]

arise from very small quantities [34]. Unstable eigenvalues caused by virtual inductance  $L$  can result in synchronization failure and uncertainties [59].

A self-synchronized synchronverter should appropriately handle these two constraints.

### 2.3.1.3 Virtual Resistance Based Synchronization [60]

This method uses a virtual resistance for initial synchronization of synchronverter rather than a virtual impedance [60]. This eliminates the unstable eigenvalues generated by virtual inductance in [34].

Figure 2.5 shows the schematic diagram of the virtual resistance-based self-synchronization technique.

When compared to virtual impedance, this method produces a lower virtual current peak. Nevertheless, this approach has several limitations covered in the following points.

- When employing virtual resistance based self-synchronization, a transformation of coordinate with rotational matrices must be performed [30]. The controller has to do extensive calculations as a result of this modification in result the computational burden is increased.
- Similar to the virtual current approach, during synchronization process, the virtual current is used instead of actual grid current and the real and reactive power references are set to zero. Therefore this approach also unable to provide continuous power to critical local load during synchronization process.

#### 2.3.1.4 Differential RMS Voltage Based Self-synchronizer [38]

This method addresses the synchronverter's seamless transfer capacity during the synchronization process after realizing the significance of the hot-seamless capability required for the essential load [38].

This approach uses a PI controller to reduce the Root Mean Square (RMS) value of the voltage difference between the synchronverter and the grid in order to achieve pre-synchronization. The schematic diagram for this method is displayed in Figure 2.6.

The DRMSV based synchronization approach added the feature of hot-seamless transfer in synchronverter during pre-synchronization process. However, this approach has unwanted uncertainties and lags that are given below:

- This approach causes unwanted delays if the synchronverter voltage lags behind the grid voltage.

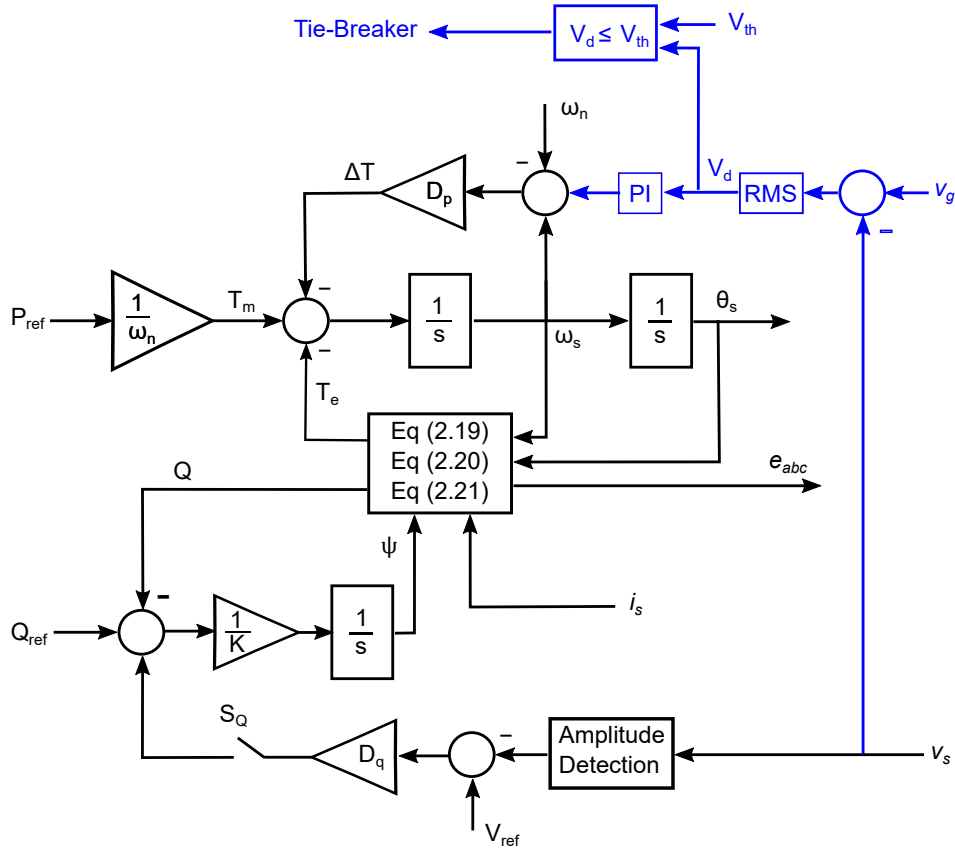


FIGURE 2.6: Block Diagram of Differential RMS Voltage Based Self-synchronizer [38]

- During pre-synchronization process, this approach always slowed down the virtual rotor speed of the synchronverter.
- This scheme cannot archive synchronization when magnitude of difference between grid and synchronverter voltages is larger than  $V_{Th}$ .

### 2.3.1.5 Fourier Analysis Based Self-synchronizer [46]

This approach has been developed to overcome the limitations of Differential RMS Voltage Based Synchronization (DRMSV) method. It is motivated by the Fourier Series. Figure 1.2 shows the block diagram of proposed synchronizer. In this scheme the grid phase angle  $\theta_g$  is measured by doing the Fourier analysis of grid voltage  $v_{ga}$ . During synchronization process, depending upon the magnitude and sign of the phase difference between  $v_{sa}$  and  $v_{ga}$ , the synchronverter's angular frequency  $\omega_{syn}$  and voltage  $v_s$  are adjusted by respective PI controllers. The process

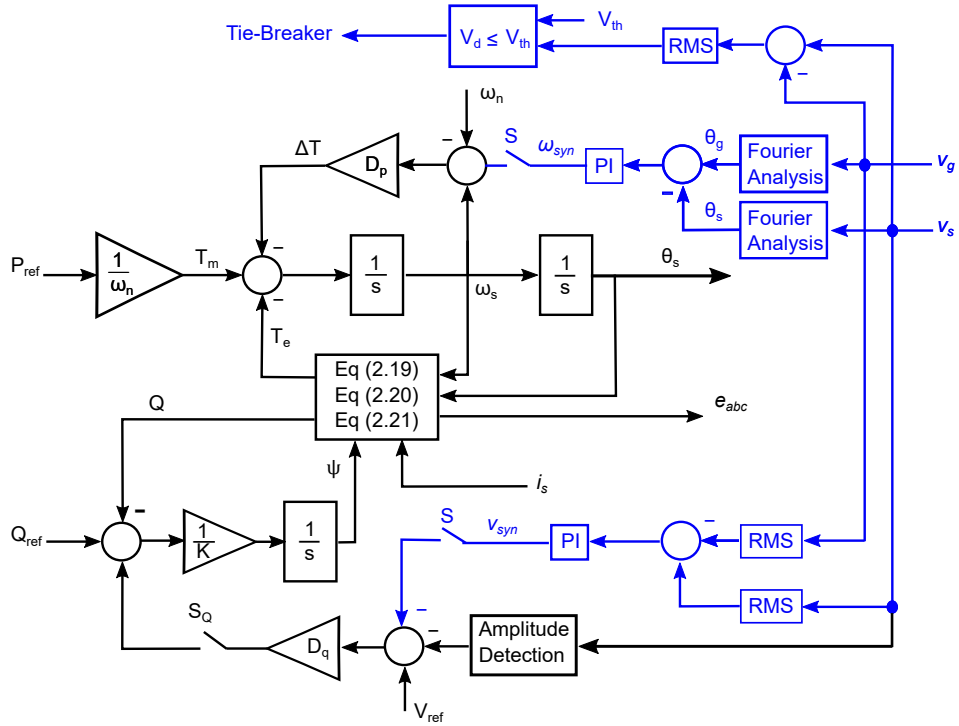


FIGURE 2.7: Block Diagram of Fourier Analysis based PLL-Less self-synchronizer [46]

continues until the rms difference between  $v_{sa}$  and  $v_{ga}$  reaches the threshold value i.e. 12V. This scheme can continue power to local load during pre-synchronization process hence it has the hot-seamless capability.

Limitations of this scheme are discussed in following points.

- If there is an unbalance in grid voltage, this approach causes high inrush currents when PCC breaker is closed.

### 2.3.2 Pre-synchronization with an Unbalanced Grid

An unbalanced grid is a three-phase power system where one or more of the conditions for a balanced grid are not met. This can occur due to unequal loads, faults, or other disturbances in the system. Common characteristics of an unbalanced grid include:

TABLE 2.2: Comparison of pre-synchronization techniques of synchronverter for a balanced grid

Technique	Reference	Hot-Seamless Capability	PLL
Phase-Locked Loop based synchronizer	[19]	Yes	Yes
Virtual Impedance based self-synchronizer	[34]	No	No
Virtual Resistance based self-synchronizer	[59, 60]	No	No
DRMSV-Based self-synchronizer	[38]	Yes	No
Fourier Analysis-Based self-synchronizer	[46]	Yes	No

1. Voltage Magnitude: The magnitudes of the voltages in the three phases are not same.
2. Currents: Current flowing through the three phases is not equal in magnitude.
3. Phase angle: The phase angle between any two phases is not 120 degrees.

The schemes proposed in literature for pre-synchronization of synchronverter with an unbalanced grid are briefly explained in following sub-section.

### 2.3.2.1 Positive Sequence Based Self-synchronizer [61]

In this approach the virtual impedance method [34] has been modified to achieve pre-synchronization with an unbalanced grid. During synchronization process, the virtual current that helps the synchronverter in achieving pre-synchronization with the power grid is calculated using the instantaneous positive sequences of the

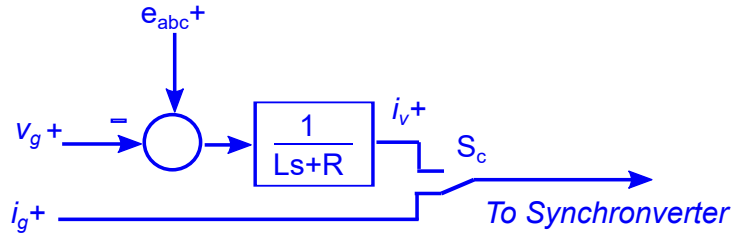


FIGURE 2.8: Virtual current calculation using positive sequences.[61]

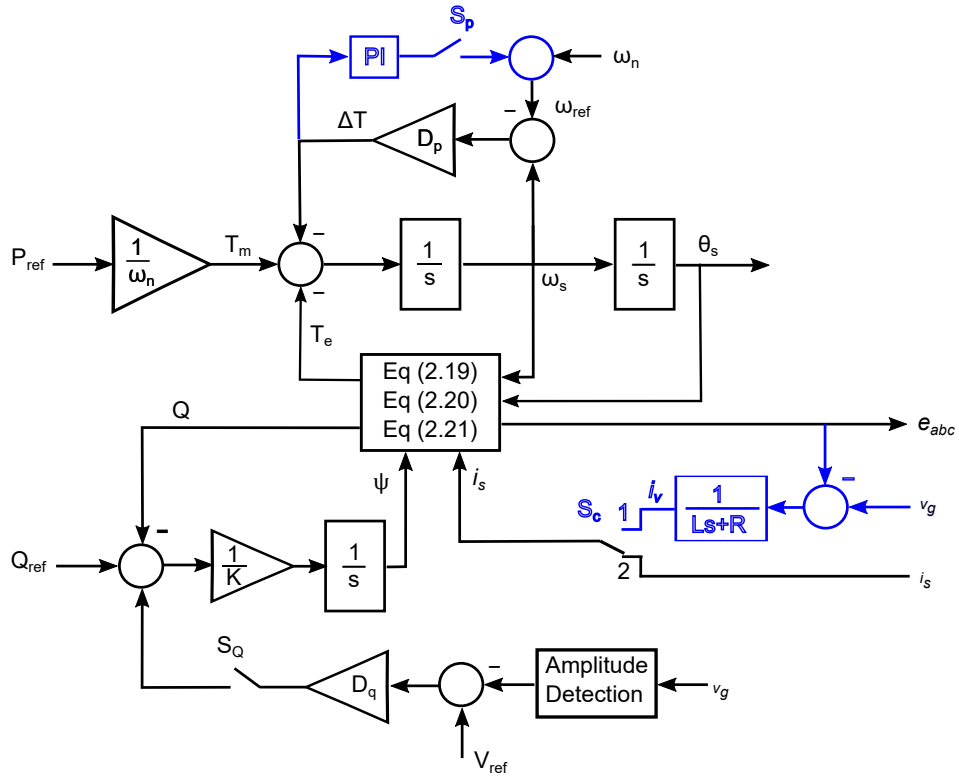


FIGURE 2.9: Block Diagram of Virtual current based self-synchronizer [61]

grid and the synchronverter voltage. The instantaneous positive sequences are extracted using Fortescue [62] and Lyon transformation [63]. This scheme can achieve synchronization regardless of balanced or unbalanced power grid. The modified part is shown in Figure 2.8 and block diagram in Figure 2.9.

The limitations of this scheme is mentioned in following points.

- This scheme is based on virtual current method [34] therefore lacks hot-seamless capability.
- The negative sequence components of grid are not compensated therefore double frequency oscillation in power and current is not eliminated.

### 2.3.2.2 Enhanced PLL Based Synchronizer [64]

This is a Phase locked loop (PLL) based scheme. In this scheme, an enhanced Phase locked loop (EPLL) consisting of positive, negative and zero sequence controllers is integrated into standard synchronverter. The symmetrical components of unbalanced power grid are calculated through Fortescue [62] and Lyon transformation [63]. In case of a balanced grid the negative and zero sequence parts can be discarded.

This scheme can achieve a seamless synchronization with an balanced grid with an additional feature of reducing the double frequency oscillation in injected power. The block diagram is shown in Figure 2.10.

The limitations of this scheme is mentioned in following points.

- In this method, the improved PLL requires greater amounts of computation and control loops than a standard PLL. This may increase the complexity in implementation and tuning.
- The number of control loops are more than a standard PLL. which will also increase the complexity of the system's design.
- The careful tuning of PLL is a difficult task depending upon the specific characteristics of grid and the controller can become unstable under severe unbalanced grid conditions.

### 2.3.2.3 Positive-Negative Sequence Based Self-synchronizer [65]

To enhance the converter's behavior under both unbalanced grid voltages and unbalanced voltage sags, this technique divides the power control into positive and negative sequence components. The instantaneous positive and negative sequences are extracted using Fortescue [62] and Lyon transformation [63].

This scheme can achieve synchronization regardless of balanced or unbalanced power grid. The double frequency oscillations produces in current due to presence

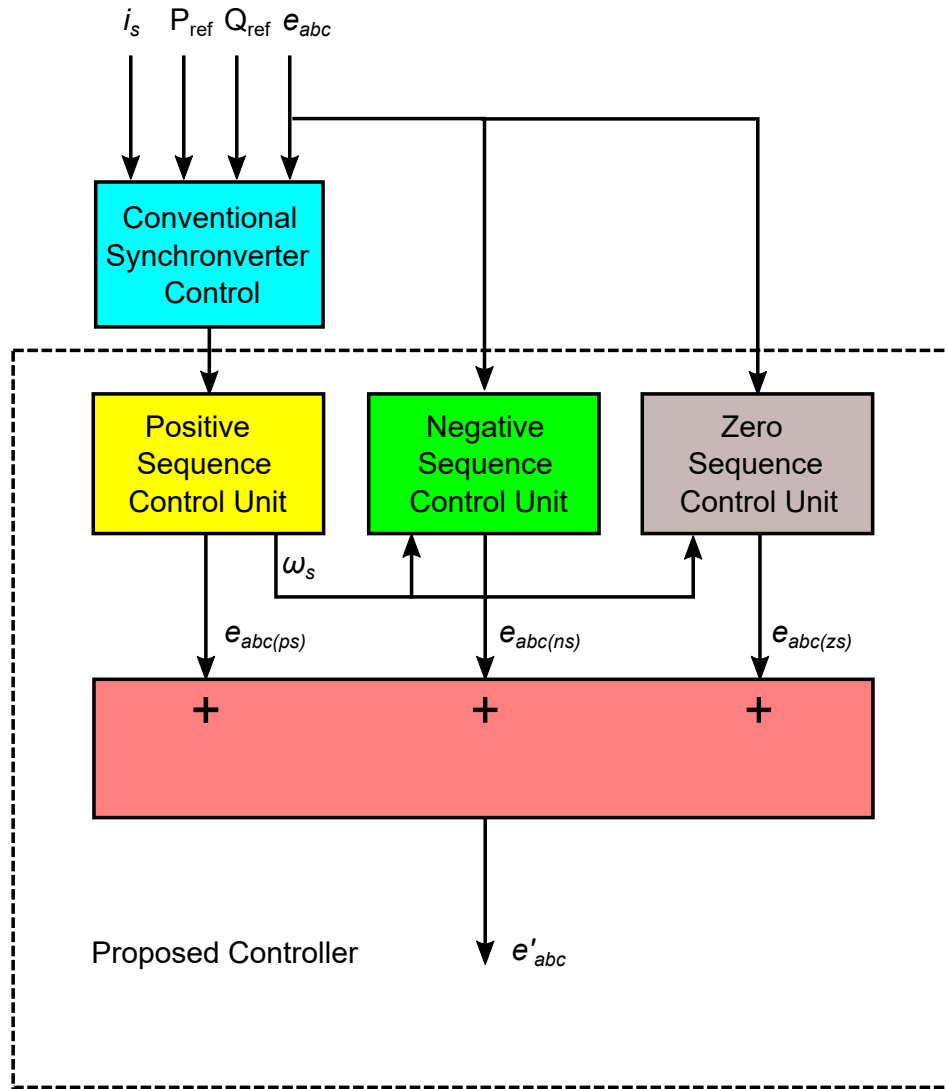


FIGURE 2.10: Block Diagram of Enhanced PLL based Synchronizer [64]

of negative sequences when connected to an unbalanced grid. This scheme has capability to suppress these double frequency oscillations. The block diagram is shown in Figure 2.11.

The limitations of this scheme is mentioned in following points.

- The positive-negative sequence decomposition requires additional processing power.
- Being a conventional virtual current based self synchronizer [34] it cannot provide power to critical local load during synchronization process [46].

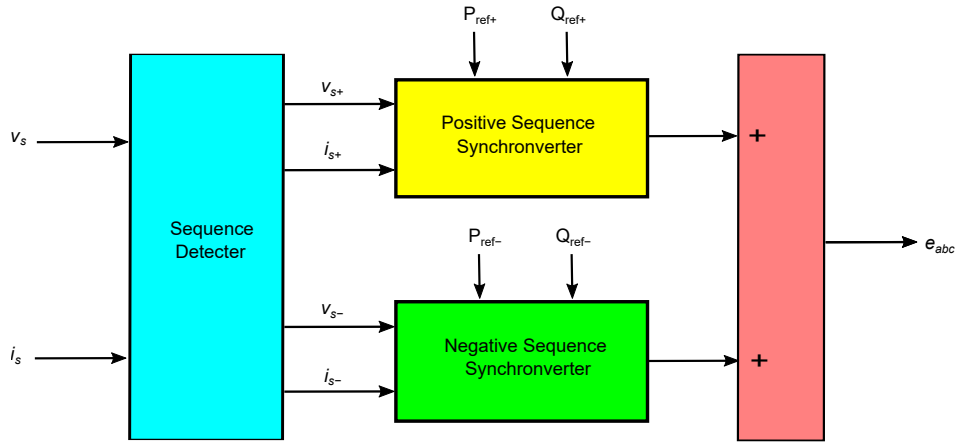


FIGURE 2.11: Block Diagram of Positive-Negative sequence based self-synchronizer [65]

#### 2.3.2.4 Resonant Controller Based Self-synchronizer [40]

In this approach, the standard virtual current based self-synchronized synchronverter is equipped with filter-based current feeding loops. It improves its stability and suppresses the power ripples in presence of unbalanced grid.

Furthermore, the features of the traditional synchronverter in unbalanced power grids are examined, and a better approach to regulate power ripples and current harmonics is suggested, utilizing a resonant controller. The block diagram is shown in Figure 2.12.

The limitations of this scheme are mentioned in following points.

- Due to inclusion of additional control loops and filters the complexity and computational burden is increased.
- Additional filters have also increased the complexity.
- As this scheme is based on conventional virtual current based self synchronizer [34], it cannot provide power to critical local load during synchronization process [46].

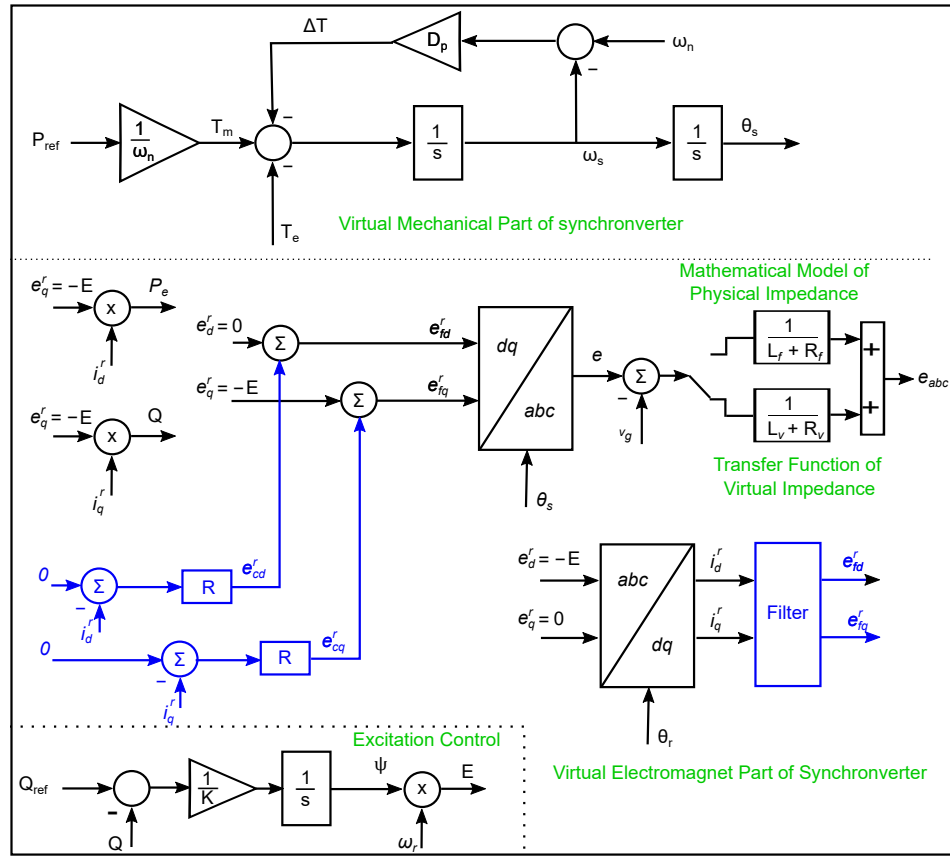


FIGURE 2.12: Block Diagram of Resonant controller based self-synchronizer [40]

### 2.3.2.5 Lyapunov Energy Function Based Direct Power Controller [66]

The operation of the synchronverters is complicated by second harmonic oscillations in the active and reactive power when the grid voltage are unbalanced.

In this scheme, a direct power control based on Lyapunov energy function has been implemented to control the power fluctuations and eliminate them during grid voltage unbalance.

The implementation of this scheme requires symmetrical components of unbalanced grid. To fulfill this need a popular architecture of the dual second order generalized integrator DSOGI PLL is used. It can extract the positive and negative sequence components of voltages and current. The block diagram is shown in Figure 2.13.

The limitations of this scheme is mentioned in following points.

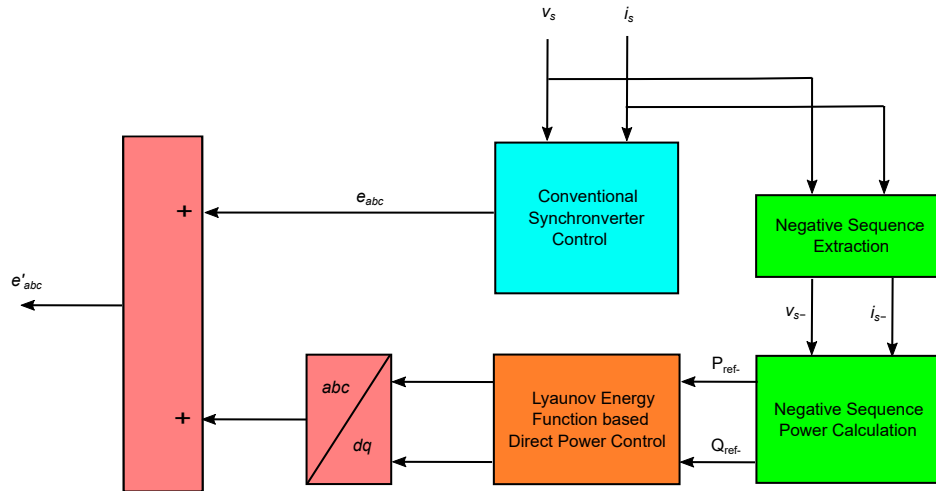


FIGURE 2.13: Block Diagram of DPC based Synchronizer [66]

TABLE 2.3: Comparison of pre-synchronization techniques of synchronverter for an unbalanced grid

Technique	Reference	Hot-Seamless Capability	PLL
Positive sequence based Self-synchronizer	[61]	No	No
Enhanced PLL based Synchronizer	[64]	Yes	EPLL
Positive Negative sequence based Self-synchronizer	[65]	No	No
Resonant controller based self-synchronizer	[40]	No	No
Direct power control based scheme	[66]	Yes	DSOGI PLL

- The addition of extra control loops has increased the complexity.
- The computational load has also increased due to additional loops.
- The use of dual second order generalized integrator DSOGI-PLL can cause uncertainties.

## 2.4 Applications of Synchronverter

The synchronverter technology has distinguished itself from other inertial emulation topologies presented in the literature for power converters because of its adaptability to a wide range of applications. Static compensators, wind turbines, electric cars, HVDC networks, DC micro-grids and grid-connected distributed energy resources are among the potential applications for the synchronverter technology. Following are the application found in literature.

### 2.4.1 Integration of Energy Storage Devices

The incorporation of the Synchronverter strategy with a bank of Lithium-ion batteries for connection to the electrical grid were proposed in [67]. In this case, a bidirectional DC/DC converter is used to connect the battery bank to the DC bus and subsequently, the synchronverter performs the power transfer from the DC bus to the AC network. The authors propose to incorporate a load management algorithm to the system control of the synchronverter in order to achieve maximum performance with the contribution to system stability by providing inertial response and meeting fault ride-through requirements.

In the study by [68], they introduced the use of a Static Var Compensator (SVC) with a battery holder to operate in a weak network. The purpose was to provide voltage support and virtual inertia to the system. The SVC controls the active and reactive power injected into the network, while the DC/AC converter is associated with it. A study is done in [69] for integration of Energy Storage System Using Synchronverter-Based converter.

### 2.4.2 Integration of Solar Panels

The integration of a STATCOM with solar photovoltaic systems (PV) represents another potential application. In a study by [70], a PV system was operated with MPPT control. In this type of system, the synchronverter also plays a role in

controlling the voltage in the DC-link. As a result, the difference between the input voltage of the synchronverter and its reference value goes through a PI block, whose output is then subtracted from the active power reference. In [71] a single stage three phase PV system is proposed for grid integration of solar panels. [72] proposed a single phase solar photovoltaic system for providing machine learning based virtual inertia by synchronverter. In essence, the single-phase synchronverter enables the photovoltaic system to provide ancillary services such as inertial response, frequency, and voltage support in response to variations in the network.

### 2.4.3 Integration of Wind Turbines

The application of the Synchronverter technology can be extended to back-to-back power converters that are driven by Pulse Width Modulation (PWM) modulation, which is commonly used to connect variable speed wind turbines to the power system. The strategy initially introduced by Zhong [73] involves applying the synchronverter philosophy to both converters in the wind energy conversion system i.e. the AC/DC converter (rectifier) and the DC/AC inverter. In short, the turbine-side converter i.e. rectifier functions as a synchronous motor, with the respective system control unit responsible for regulating the voltage in the DC link and operating under unity power factor. At the same time, the grid-side converter i.e. inverter operates as a synchronous machine with the goal of controlling and maximizing power extraction from the wind referred to as Maximum Power Point Tracking (MPPT) and regulating the reactive power injected into the network [73].

### 2.4.4 HVDC Systems

High Voltage Direct Current (HVDC) transmission systems, operating with Voltage Source Converter (VSC) converters and vector control, are considered one of the most suitable technologies for integrating renewable energies into the power grid [74, 75]. However, the HVDC topology may become unstable when connecting

weak networks that demand high power and do not provide an inertia value for the system. [76] proposed the application of Synchronverter (synchronverter) to the rectifier control system, where strategies based on voltage and power were developed. By applying these strategies to an HVDC system, the network perceives the terminal that sends power as a virtual synchronous motor powered by the AC network and the receiving terminal as a virtual synchronous machine whose DC-link represents the virtual mechanical torque (Aouini et al., 2015). An HVDC system operating with synchronverter is called Synchronverter HVDC (SHVDC) [77]. An SHVDC system can provide inertial behavior to the grid, but a synchronous machine with the same nominal value as the VSC would result in low performance. This would lead to greater fluctuations in waveforms and increased variations in the face of disturbances on the network. [78] have developed an analytical method for adjusting the parameters used in SHVDC. This method is based on sensitivity analysis of the system poles in relation to control parameters and the location of poles. The results demonstrate that in this case, the synchronverter can provide even more satisfactory performance than vector control.

#### **2.4.5 Static VAR Compensator and STATCOM**

The capability of using a synchronverter as a static synchronous condenser (STATCOM) with voltage imbalance compensation functionality was discussed by [79]. In [80] suggested to operate the STATCOM as a virtual synchronous condenser, as current controllers for STATCOM operation generally do not inherently consider the model of synchronous machines. The authors suggested adding an extra control loop droop ('D-mode') to enable the parallel operation of STATCOMs for sharing reactive power based on voltage variation.

#### **2.4.6 Recharging Electric Vehicles**

Electric Vehicles (EVs) are expected to play a significant role in modern electrical networks in the near future [81]. From the perspective of the network, EVs can be

seen as small battery banks that are intermittently connected to the grid. In order to prevent the growing number of EVs from necessitating an expansion of the grid to accommodate peak demand, loading/unloading systems known as Vehicle-to-Grid (V2G) have been developed [82, 83]. This technology allows EVs to provide support services to the grid and using the charge stored in their batteries, help mitigate peak demand by adjusting the power delivered or received based on the state of charge (SoC) of the connected EV batteries.

Additionally, with the application of Supervisory Control and Data Acquisition (SCADA) to V2G, EVs can contribute to the grid's equivalent inertia, further assisting with ancillary services [82]. Similarly, the concept of variable inertia can be extended to V2G stations [83] with the implementation of an adaptive controller that determines the inertia value based on the battery SoC.

### 2.4.7 DC Microgrids

DC microgrids have several advantages like no reactive power present and no need for frequency synchronization circuits. Additionally, conductors do not confront a skin effect, there is a smaller number of cables compared to three-phase systems and reduced electromagnetic emission [84].

Despite these advantages, voltage is the only control parameter in microgrids, making power sharing between different converters in the system more difficult to achieve with balanced distribution. This difficulty may lead to overload in some system converters while neglecting others. To address this issue, the proposed model by [85, 86] allows the use of the supervisory voltage (synchronverter) to control converters.

The synchronverter helps impose a low ripple amplitude and frequency as a function of the energy state of the network, facilitating communication between various circuit sources and enabling power sharing between different sources with locally measured variables.

## 2.5 Gap Analysis

The synchronverter has received particular attention among all virtual synchronous machines (VSMs) because of its comparable dynamics with a synchronous machine. Thus, synchronverter design and its performance have been the subject of several challenges and concerns raised by scholars over the last ten years. In Section 2.1, several adjustments to enhance its functionality have been addressed, along with pre-synchronization methods to accomplish the initial synchronization with balanced or unbalanced grid.

There is an issue regarding the pre-synchronization of synchronverter with an unbalanced grid which are unsolved. The research gap in this field is stated as under.

- In critical load applications e.g. hospitals or military units, the pre-synchronizer of synchronverter must continue its output power during pre-synchronization process. To achieve this, a hot-seamless pre-synchronization scheme is required.
- Researchers have proposed hot-seamless schemes for synchronverter which work only with a balanced grid but no PLL-Less scheme is proposed in literature which can achieve a hot-seamless pre-synchronization of synchronverter with an unbalanced grid.

## 2.6 Problem Statement and Solution

An enhanced pre-synchronizer is needed for pre-synchronization of synchronverter with an unbalanced grid. It should be able to function without the use of a Phase locked loop (PLL). Moreover, it can achieve the pre-synchronization of synchronverter with an unbalanced grid while ensuring uninterrupted power to the local critical load. The transient currents at the time of grid connection should be minimum.

**Solutions Proposed:**

For enhancement of the pre-synchronization of synchronverter with an unbalanced grid, an improvement in existing Fourier analysis based PLL-less self-synchronizer [46] is proposed here that

- (a) ensures hot-seamless transfer of synchronverter from standalone to grid-connected mode.
- (b) prevents the tripping of synchronverter by significantly reducing the voltage difference and inrush synchronverter currents during pre-synchronization with a three phase grid having unbalance in its voltage or phase angle.
- (c) can achieve pre-synchronization of synchronverter with the grid if a sag occurs during pre-synchronization process.

## 2.7 Research Methodology

Firstly, a method of decomposing the unbalanced grid voltages into its symmetrical components in frequency and time domain is derived using Fortescue Transform. Then the Lyon transformation is implemented to extract the instantaneous positive sequence vectors of unbalanced grid.

Secondly, a positive sequence extraction block (based on Lyon transformation) is embedded in existing PLL-Less Fourier Analysis based self-synchronizer to make it capable of achieving a successful pre-synchronization of synchronverter with an unbalanced grid.

At last, the enhanced pre-synchronizer is tested in four different scenarios and the results are compared for its validation. All research work is based on simulations carried out in MATLAB R2023a/Simulink using the SimPowerSystem tool.

## 2.8 Applications of Enhanced Pre-synchronizer

The applications for synchronverter include Renewable energy resources (RERs) integration in power grid, modular multilevel converters, HVDC transmission Network, Synchronous rectifier, static Compensators, Electric Vehicle Charging stations, and Energy Storage integration. Any application where a synchronverter with a local linked load has to be synced with an unbalanced grid can use the proposed pre-synchronizer. Below is a list of a few of the applications:

- The residential and commercial applications where roof-top PV plants required to be operated in both standalone and grid-connected mode.
- Connection of Microgrids having local critical loads in remote areas where grid is weak and the voltages of grid do not remain balanced.
- Electric Vehicles (EVs) charging and discharging systems for Vehicle to grid (V2G) support.
- The integration of distributed energy generation based power plant supplying critical centers like data centers, hospitals, military units etc into the power grid

# Chapter 3

## Design of Enhanced Pre-synchronizer

The synchronverter is capable of staying synchronized with the grid without the need for a dedicated synchronization unit. It can seamlessly do transition from grid-connected to standalone mode without requiring any adjustments to the controller. However, it does require a synchronizer to monitor the grid phase and frequency as a reference before connecting to the grid [46].

The grid voltages do not remain balanced in all distribution networks specially in the weak grid which usually presents steady state unbalanced voltages due to single phase loads. In addition the Pakistan Grid code 2023 (CC 6.2.1 para (e)) put a restriction on solar and wind power plants to remain synchronized with the transmission system during a negative phase sequence load unbalance of 5% of positive sequence component.

Several PLL-Less techniques have been developed by researchers for synchronization of the synchronverter before grid connection. Mostly, these schemes are designed by considering an ideally balanced grid, relatively a little work has been done for initial synchronization with an unbalanced or weak grid. Moreover a synchronization scheme must possess a hot-seamless capability in such applications

where critical local loads are present. Hot-seamless capability defines that the supply to critical loads like hospital or military installations must not be interrupted during pre-synchronization process.

A few PLL-Less schemes has been reported in literature [46],[38] that can achieve hot-seamless synchronization. But these schemes works well only with a balanced grid. To the best knowledge of author, no PLL-Less scheme is designed that can achieve hot-seamless pre-synchronization with an unbalanced grid.

In this chapter, the performance of existing FA-based PLL-Less self-synchronizer [46] is analyzed for pre-synchronization of synchronverter with an unbalanced grid, a method extracting instantaneous symmetrical components of unbalanced grid is derived using Fortescue and Lyon transformation [61], a Fourier Analysis based PLL-Less self-synchronizer is modified to overcome its limitations regarding pre-synchronization of synchronverter with an unbalanced grid.

### 3.1 Fourier Analysis Based PLL-Less Self Synchronizer

The self synchronizer proposed in [46] is motivated by fourier Series. Figure 3.1 shows the block diagram of proposed synchronizer. In this scheme the grid phase angle  $\theta_g$  is measured by doing the fourier analysis of grid voltage  $v_{ga}$ .

During synchronization process, depending upon the magnitude and sign of the phase difference between  $v_{sa}$  and  $v_{ga}$ , the synchronverter angular frequency  $\omega_{syn}$  and voltage  $v_s$  are adjusted by respective PI controllers. The process continues until the rms difference between  $v_{sa}$  and  $v_{ga}$  reaches the threshold value i.e. 12V in this case and then PCC breaker is closed to share the power with grid. Since the local load has to be supplied during the synchronization process, the maximum value of  $\omega_{syn}$  can be varied by  $\pm\pi$  rad/s.

The conventional synchronverter with existing FA-based synchronizer tracks only phase  $a$  of the grid therefore during synchronization with an unbalanced grid it



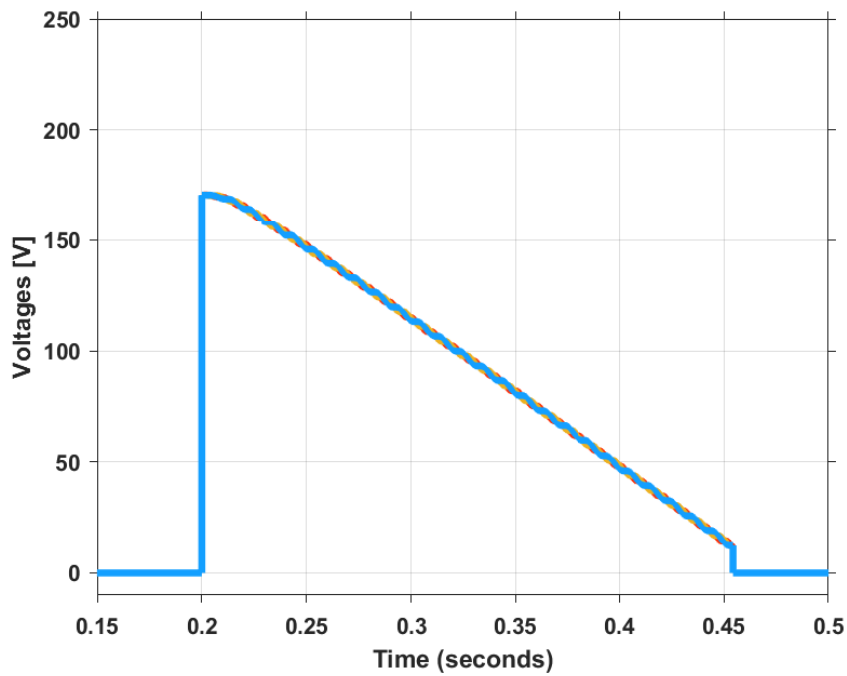


FIGURE 3.2: Pre-synchronization of synchronverter with a balanced grid using FA-based PLL-Less self-synchronizer

The control of synchronverter generates positive sequences at its output and its control is designed to operate only with a balanced grid. The synchronverter can be synchronized with an unbalanced grid using FA-based PLL-Less self-synchronizer if the instantaneous positive sequences of grid are used.

In the following section a method of extracting instantaneous positive sequences of grid is derived and implemented using Fortescue and Lyon transformation [61].

### 3.2 Space Vector based Symmetrical Decomposition

Three voltages along with three currents work together in three phase electric systems to deliver the loads both active and reactive power. According to conventional theory, a set of three phase balanced signals have the same magnitude

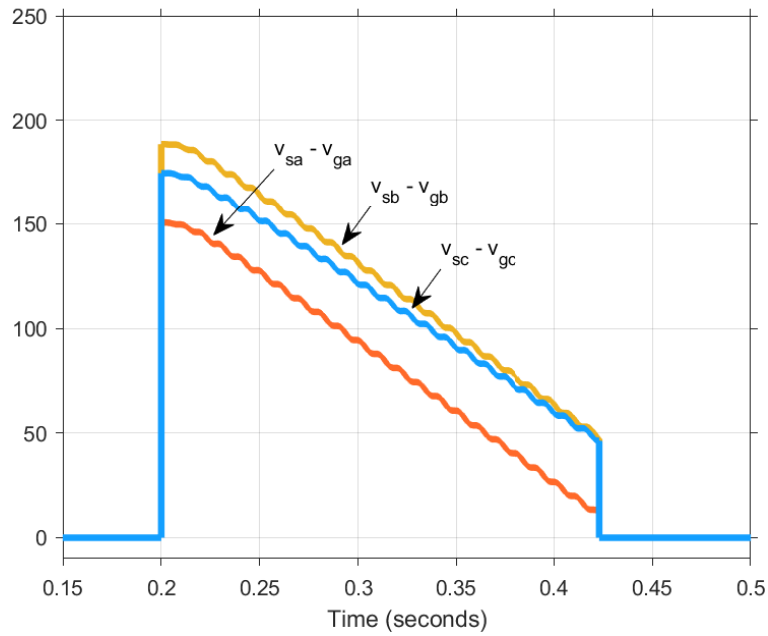


FIGURE 3.3: Pre-synchronization of synchronverter with an unbalanced grid using FA-based PLL-Less self-synchronizer

and  $120^\circ$  electrical rotational separation. This assumption holds true for transmission systems, but due to single-phase and two-phase loads, as well as power grid asymmetry, not all distribution networks hold for such a balance scenario.

More specifically, power converters require space vector transformation to address these unbalances. The upcoming section present the symmetrical component decomposition of three phase signals in the time and frequency domains.

### 3.2.1 Symmetrical Components in Frequency Domain

Power converters connected to the grid are extremely vulnerable to voltage fluctuations at the common coupling point (PCC). It is crucial to accurately detect the unbalanced voltage vector when considering sensitivities. To achieve this sort of detection, the method of symmetrical decomposition was first introduced by Fortescue [62].

Three unbalanced voltage or current signals can be divided into three balanced vectors using this method. Positive, negative and zero sequences are the common

titles for those vectors. The rotation of the positive sequence is counterclockwise, maintaining the abc rotational order. In the meantime, the rotational order of acb is preserved by the negative sequence, which creates a clockwise rotation. In both scenarios, there are three vectors, each separated by 120 deg or  $\frac{2\pi}{3}$  radians. Three vectors with the same magnitude are present. Both cases have three vectors separated by 120 deg or  $\frac{2\pi}{3}$  radians. The zero-sequence signal consists of three vectors that have the same magnitude and angle, or zero degrees. The following transformation needs to be taken into account in order to change a natural frame into a (+ - 0) frame in the frequency domain:

$$V_{+-0(a)} = [T_{+-0}]V_{abc} \quad (3.1)$$

The subsequent voltage vectors as well as the Fortescue transformation matrix have been obtained after each term is expanded:

$$V_{abc} = \begin{bmatrix} V_a \angle \theta_a \\ V_b \angle \theta_b \\ V_c \angle \theta_c \end{bmatrix}, \quad V_{+-0} = \begin{bmatrix} V_a^+ \angle \theta_a^+ \\ V_a^- \angle \theta_a^- \\ V_a^0 \angle \theta_a^0 \end{bmatrix} \quad (3.2)$$

$$[T_{+-0}] = \frac{1}{3} \begin{bmatrix} 1 & \alpha & \alpha^2 \\ 1 & \alpha^2 & \alpha \\ 1 & 1 & 1 \end{bmatrix}$$

where  $\alpha = e^{j\frac{2\pi}{3}} = 1 \angle 120 \text{ deg}$  is the Fortescue operator that maintains the vectors 120 deg apart. The sequence components for phase  $a$  in the frequency domain can be found using Eq. 3.1. if needed, phases  $b$  and  $c$  can also be calculated through Eq. 3.3.

$$\begin{aligned} V^+_b \angle \theta^+_b &= \alpha^2 V^+_a \angle \theta^+_a, \\ V^-_b \angle \theta^-_b &= \alpha V^-_a \angle \theta^-_a, \\ V^+_c \angle \theta^+_c &= \alpha V^+_a \angle \theta^+_a, \\ V^-_c \angle \theta^-_c &= \alpha^2 V^-_a \angle \theta^-_a \end{aligned} \quad (3.3)$$

Regardless of how the voltage or current vectors are expressed in the symmetrical components for the phase  $a$ . The conversion to the natural frame can be obtained using following equation.

$$V_{abc} = [T_{+-0}]^{-1}V_{+-0(a)} \quad (3.4)$$

and  $[T_{+-0}]^{-1}$  is expressed in Eq. 3.5:

$$[T_{+-0}]^{-1} = \begin{bmatrix} 1 & 1 & 1 \\ \alpha^2 & \alpha & 1 \\ \alpha & \alpha^2 & 1 \end{bmatrix} \quad (3.5)$$

For the frequency domain vectors, the aforementioned formulation is accurate. An adequation has to be implemented when time-domain signal processing of current and voltage vectors is required.

### 3.2.2 Symmetrical Components in Time Domain

In time domain the symmetrical component decomposition was proposed and developed by Lyon [63]. If Eq. 3.2 by Fortescue is applied to sinusoidal unbalanced waveforms shown in Eq. 3.7, it is possible to obtain the instantaneous vectors as found in Eqs. 3.8 and 3.9.

$$V_{abc} = \begin{bmatrix} v_a \\ v_b \\ v_c \end{bmatrix} = v_{abc}^+ + v_{abc}^- + v_{abc}^0 \quad (3.6)$$

$$= V^+ \begin{bmatrix} \cos(\omega t) \\ \cos(\omega t - \frac{2\pi}{3}) \\ \cos(\omega t + \frac{2\pi}{3}) \end{bmatrix} + V^- \begin{bmatrix} \cos(\omega t) \\ \cos(\omega t + \frac{2\pi}{3}) \\ \cos(\omega t - \frac{2\pi}{3}) \end{bmatrix} + V^0 \begin{bmatrix} \cos(\omega t) \\ \cos(\omega t) \\ \cos(\omega t) \end{bmatrix} \quad (3.7)$$

$$v_{+-0(a)} = [T_{+-0}]v_{abc} \quad (3.8)$$

$$v_{+-0(a)} = \begin{bmatrix} \vec{v}_+ \\ \vec{v}_- \\ \vec{v}_0 \end{bmatrix} = \begin{bmatrix} +\frac{1}{2}V^+e^{j\omega t} + \frac{1}{2}V^-e^{-j\omega t} \\ +\frac{1}{2}V^+e^{j\omega t} + \frac{1}{2}V^-e^{-j\omega t} \\ V^0\cos(\omega t) \end{bmatrix} \quad (3.9)$$

The Lyon transformation can be stated in Eq. 3.10 through following normalized matrix, where  $[T'_{+-0}] = [T'_{+-0}]^T$ .

$$[T'_{+-0}]^T = \sqrt{3}[T'_{+-0}] = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & \alpha & \alpha^2 \\ 1 & \alpha^2 & \alpha \\ 1 & 1 & 1 \end{bmatrix} \quad (3.10)$$

It can be confirmed from Eq. 3.9 that the resulting vector consists of a real term  $\vec{v}_0$  and two complex terms  $\vec{v}_+$  and  $\vec{v}_-$ . It is possible to derive the complex terms  $\vec{v}_+$  and  $\vec{v}_-$  into instantaneous space vectors having opposing rotations and a similar amplitude.

The positive and negative sequence voltage vectors,  $v_{abc}^+$  and  $v_{abc}^-$ , are not the same as these terms; on the other hand, the  $\vec{v}_0$  is directly connected to the zero sequence components of the original three phase voltage vector. To extract the negative and positive sequence vectors  $v_{abc}^-$  and  $v_{abc}^+$  from an unbalanced input vector  $v_{abc}$ , the Fortescue operator  $\alpha$  needs to be transformed from frequency domain to time domain. Consequently, a time-shifting operator must be applied, which is commonly accomplished by  $\frac{2}{3T}$ , where ' $T$ ' is signal period.

The shifting operator is equivalent to 120 deg as the entire period is equal to 360 deg. Another way to express the Fortescue operator is as follows:  $\alpha = -\frac{1}{2} + j\frac{\sqrt{3}}{2}$ . A filter can be used to produce 90deg phase-shifting associated with the imaginary operator ' $j$ ',. This delay can be used to implement the time-shifting operator [63]. A simplified implementation of the time-shifting operator  $\alpha$  is shown in Figure 3.4(a), where the damping factor  $\zeta = 1$  and a second-order low-pass filter (LPF) is adjusted at the input frequency  $\omega_{in}$ . As shown in Figure 3.4(b) the  $\alpha^2$  operator can be computed by simply multiplying the output signal of Low pass filter (LPF) by -1.

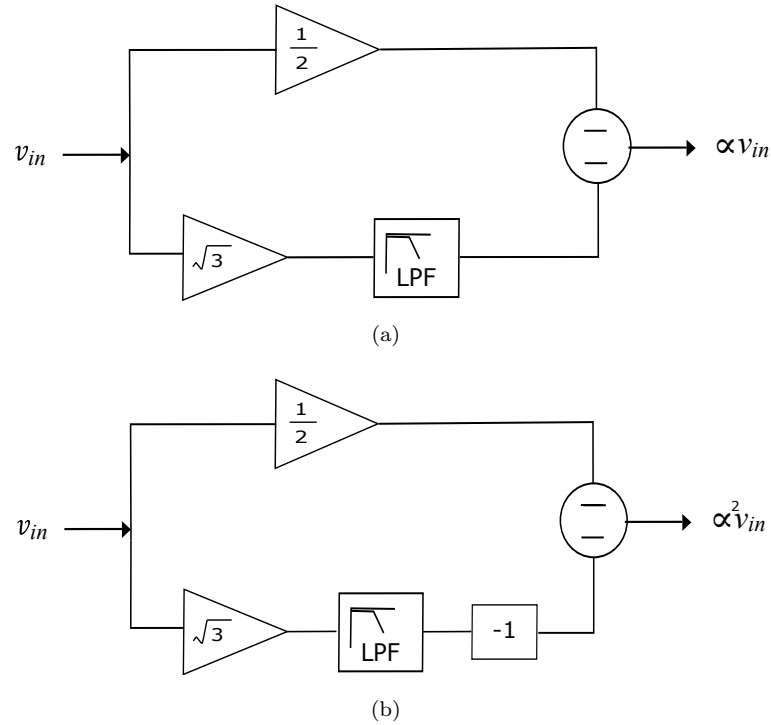


FIGURE 3.4: Block Diagram of Time-shifting operator implementation (a)  $\alpha$   
(b)  $\alpha^2$  [87].

The LPF can be calculated as in Eq. 3.11.

$$LPF(s) = \frac{\omega_{in}^2}{(s + \omega_{in}^2)} \quad (3.11)$$

### 3.3 Enhanced FA-based PLL-Less Self Synchronizer

In this section the existing FA-based self-synchronizer is enhanced to overcome its limitations described in section 3.1. In this proposed scheme, a Positive sequence extractor (PSE) block based on the method formulated in section 3.2 has been added in existing FA-based self-synchronizer which decomposes the unbalanced grid voltages into its instantaneous symmetrical components without using a PLL. Figure 3.5 shows the block diagram of proposed scheme.

In Positive sequence extractor (PSE) block, each vector of grid voltage is split into  $a$ ,  $b$ , and  $c$  phases and introduced to the time shifting circuits presented in Figure



# Chapter 4

## System Design and Simulation Results

In this chapter the basic parameters calculation for the design of synchronverter using enhanced pre-synchronizer is presented. Moreover, the designed synchronverter is simulated in different grid scenarios using existing and enhanced pre-synchronizer. The performance of both schemes is compared in results.

The block diagram of complete system with enhanced pre-synchronizer is shown in figure [4.1](#)

### 4.1 Design of Complete System using Enhanced Pre-synchronizer

Figure [4.2](#) shows the complete schematic diagram of the synchronverter with proposed enhanced pre-synchronizer. In power part, the DC source is considered ideal for simplicity. The IGBT switches with anti-parallel diodes are used in three phase PWM Inverter that performs the DC-AC conversion. Instantaneous phase values of grid voltage ( $v_g$ ), synchronverter output voltage ( $v_s$ ) and output current ( $i_s$ ) is measured by two measurement blocks MT2 and MT1 respectively.

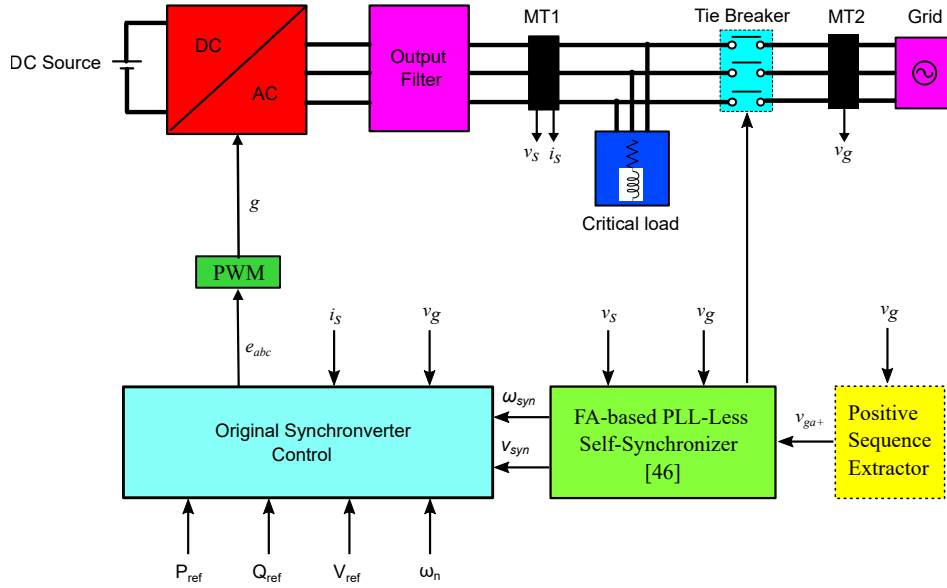


FIGURE 4.1: Block Diagram of Complete system with enhanced FA-based self-synchronizer.

An LCL filter is used at the output of inverter stage for PWM filtering because this filter topology offers lower voltage drop and better damping characteristics than LC filter [88]. The detailed filter designing is presented in [88, 89]. The controller has two parts, the original synchronverter control and enhanced pre-synchronizer.

The conventional synchronverter controller part incorporates the original synchronverter model proposed in [34]. In this controller the synchronous generator model block implements the mathematical model of a synchronous generator derived in 2. This model consists of the mathematical computation of electrical torque, induced electromotive force and reactive power given in Eq. 4.1 to 4.3.

$$T_e = \psi_s \langle i_s, \widetilde{\sin\theta_s} \rangle \quad (4.1)$$

$$e_{abc} = \omega_s \psi \widetilde{\sin\theta_s} \quad (4.2)$$

$$Q = -\omega_s \psi_s \langle i_s, \widetilde{\cos\theta_s} \rangle \quad (4.3)$$

Where  $\psi_s$  is the magnetic flux,  $\omega_s$  is the angular speed and  $\theta_s$  is the rotor angle of the synchronverter. In Figure 4.2 the upper half part of the controller is the

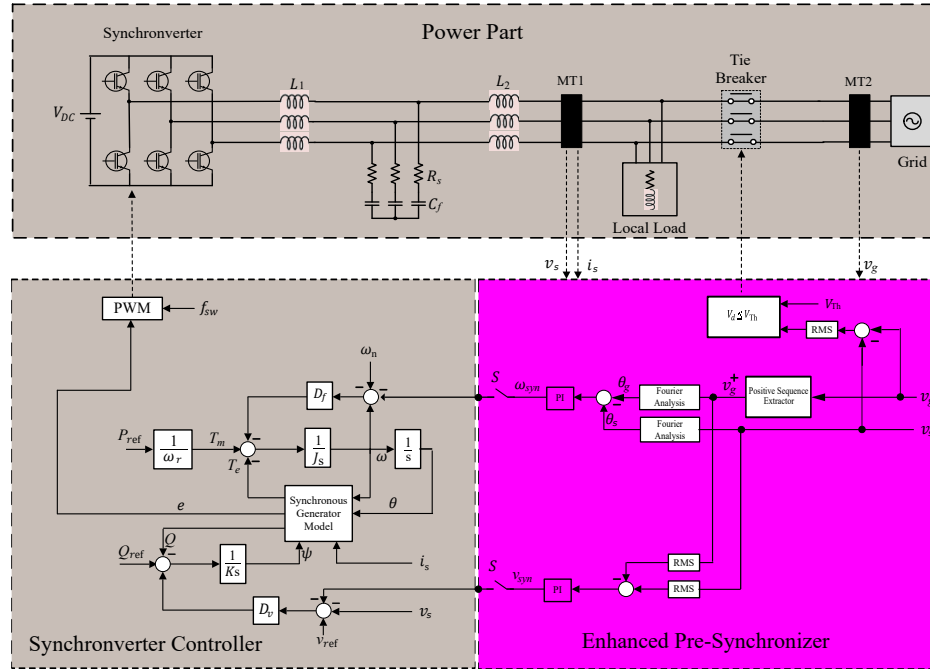


FIGURE 4.2: Complete system with enhanced pre-synchronizer

frequency control loop. It is based on swing equation of synchronous generator as given in Eq. 4.4.

$$J \dot{\omega} = T_m - T_e - D_f(\omega_s - \omega_n) \quad (4.4)$$

Where  $D_f$  is the damping coefficient and  $J$  is the virtual inertia of synchronous generator. The damping coefficient  $D_f$  maintains the steady state stability while the virtual inertia  $J$  (like the real inertia of synchronous generator) provide support to the grid during disturbances [90].  $D_f$  and  $J$  both are chosen by Eqs. 4.5 and 4.6. The complete design of these parameters is elaborated in [34][91].

$$D_f = -\frac{\Delta P_s}{\omega_s \Delta \omega_s} \quad (4.5)$$

$$J = D_f \tau_\rho \quad (4.6)$$

Where  $\tau_\rho$  is the time constant for the frequency control loop. The lower half part of the synchronverter controller is a voltage control loop.  $D_v$  is the voltage droop

coefficient and  $K$  is the integrator gain. Eqs. 4.7 and 4.8 are used for designing both parameters. The detailed design is found in [91].

$$D_v = -\frac{\Delta Q}{\Delta v_s} \quad (4.7)$$

$$K = \omega_s D_v \tau_q \quad (4.8)$$

Where  $\tau_q$  is the time constant of the voltage control loop. All other parameters of synchronverter are mentioned in 4.1 .

In enhanced pre-synchronizer, the three phase grid voltages  $v_g$  are fed to the positive sequence extractor block that transforms  $v_g$  into its instantaneous positive sequence vectors. The fourier analysis blocks obtain the phase angle  $\theta_g^+$  and  $\theta_s$  from extracted positive sequence voltages of grid and synchronverter terminal voltage respectively.

Depending upon  $\theta_s - \theta_g^+$ , the PI controller in upper part generates the frequency correction signal  $\omega_{sync}$  for phase synchronization. Similarly, PI controller in lower part generates the voltage correction signal  $v_{sync}$  for amplitude synchronization. The switch 'S' starts the synchronization process and disconnects the pre-synchronizer when Tie-Breaker is closed.

## 4.2 Results and Discussion

The circuit was made in Matlab/Simulink version 2023a. The three phase synchronverter having a local load at its output and connected to the power grid through a three pole controlled circuit breaker . A "Universal Bridge" mask and a "2-Level PWM Generator" mask together were used to generate the SPWM. The synchronverter control block provided the reference voltage  $e_{abc}$  for PWM Generator mask.

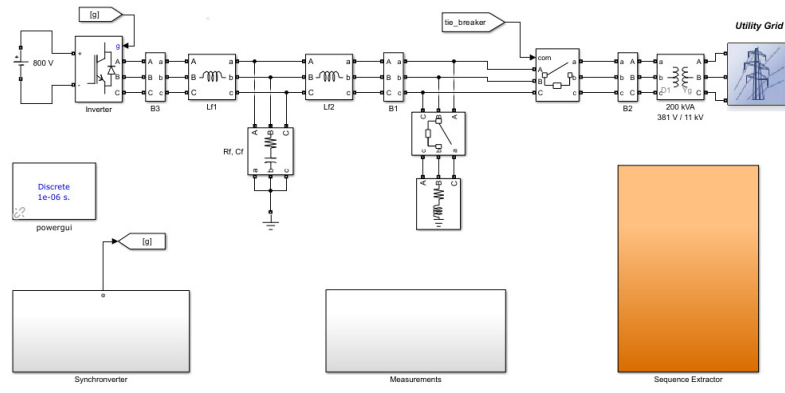


FIGURE 4.3: Block Diagram of System.

The total time of simulation was adjusted to 2 seconds for simulating the standalone, pre-synchronization and grid-connected modes of operation of the synchronverter. The system is presented in Figure 4.3.

The model parameters for system simulation are given in Table 4.1. The synchronization of synchronverter is carried out in four different scenarios of grid, using both existing and enhanced pre-synchronizer. The first scenario tests the performance with a balanced grid, the second scenario considers an unbalanced grid voltage. In third scenario, the grid phase angle are unbalanced and lastly, an unbalanced sag is generated in grid voltage during pre-synchronization process. A comparison of both schemes is performed in the results of all scenarios. The dashed curves indicates the results of the existing scheme, while the solid lines illustrate the results of the proposed scheme. The results of all scenarios are presented in following subsections.

#### 4.2.1 Pre-synchronization while the Grid Voltage are Balanced

A “Three-phase programmable generator” mask in MATLAB/Simulink with a phase-phase voltage amplitude of  $381\sqrt{2}/\sqrt{3}$  was used to simulate a balanced grid. In Standalone mode the real and reactive power of local load was used as a reference power for the inverter that changes to 230 KW and 100 Kvar respectively when the pre-synchronization is completed. Figures 4.4 to 4.10 shows the results

TABLE 4.1: Parameters for simulations.

Symbol	Parameter	Value
$V_{DC}$	DC Bus Voltages	800 V
$V_n$	Nominal Phase Voltage	220 V-rms
$S_n$	Nominal Power	250 kVA
$f_n$	Nominal Frequency	50 Hz
$J$	Virtual Inertia	1.0132
$D_v$	Voltage Droop Coefficient	16070
$D_f$	Damping Coefficient	506.6
$K$	Integrator Gain	100970
$\tau_p$	Frequency Loop Time Constant	0.002 s
$\tau_q$	Voltage Loop Time Constant	0.02 s
$L_1$	Filter Inductor	0.3111 mH
$L_2$	Filter Inductor	0.0587 mH
$R_f$	Series Resistor	0.0737 Ohm
$C_f$	Filter Capacitor	91 $\mu$ F
$f_{sw}$	Switching frequency	8 kHz
$P_v$	Proportional Gain	0.1
$I_v$	Integral Gain	2.3
$P_\omega$	Proportional Gain	0.4
$I_\omega$	Integral Gain	3.4
$V_{Th}$	Threshold Voltage	12 V
	Local Load	200 + j20 kVA

of this scenario. The simulation was started at 0sec in standalone mode. It

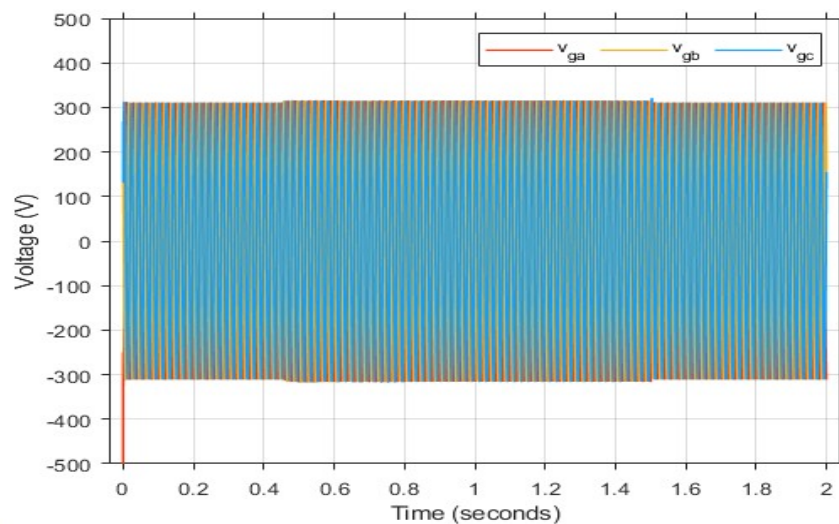


FIGURE 4.4: Grid voltages

can be observed in Figure 4.9 and 4.8 that after the transient period passed the synchronverter maintained its frequency and voltage (at nominal values) for the

local load. The synchronization process was started at 0.2s and got synchronized within 276 msec correctly at 0.46 sec.

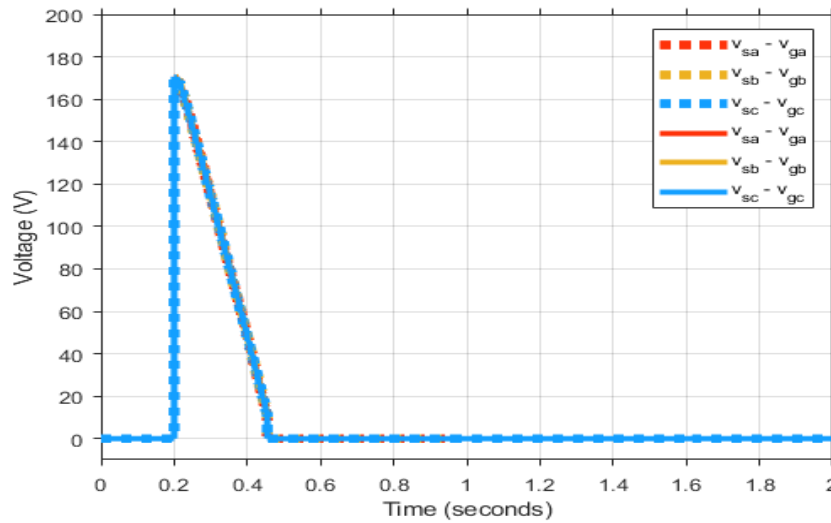


FIGURE 4.5: RMS value of instantaneous difference between synchronverter voltage and grid voltages

The synchronization process is shown in Figure 4.5 to 4.7. It can be observed that the initial difference between the voltage of the Synchronverter and grid was 165V which started decreasing when the pre-synchronizer causes to adjust the Synchronverter frequency and voltage within acceptable limits.

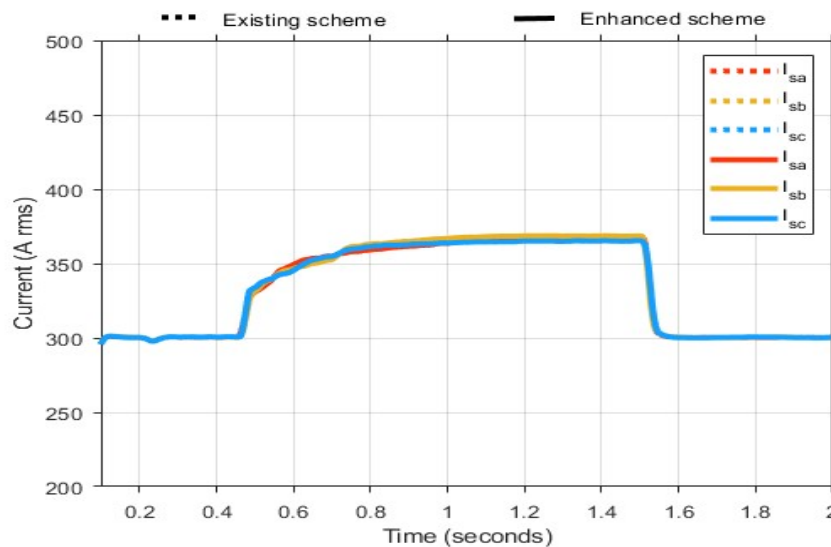


FIGURE 4.6: synchronverter rms currents

The Load current in Figure 4.9 proves that the Synchronverter did not stopped the power supply to Local load during synchronization. On completion of the

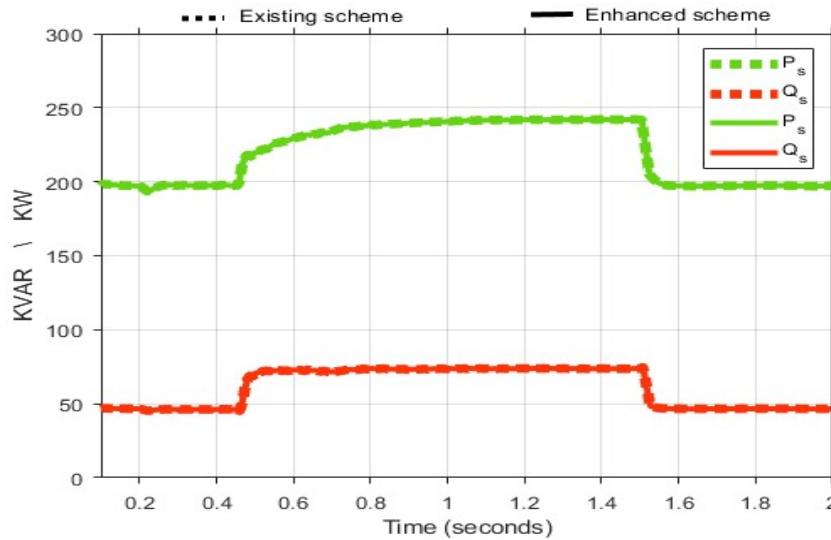


FIGURE 4.7: Real and Reactive power drawn from synchronverter

synchronization process the PCC breaker is closed to allow the power sharing with the grid. It is obvious in Figure 4.6 and 4.7 that when the PCC breaker is closed the rise of current and power was smooth and there is no inrush observed.

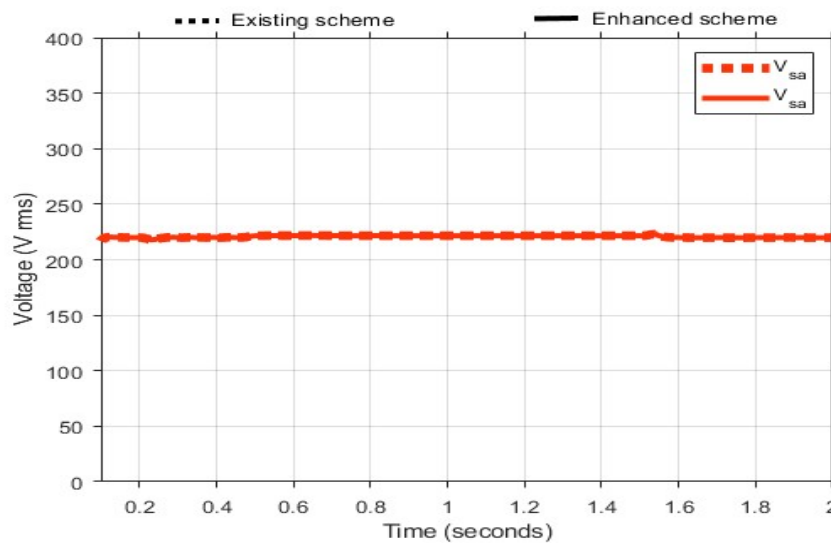


FIGURE 4.8: Synchronverter rms voltages

At 1.5sec, the PCC breaker was opened to test the switching of synchronverter from Grid connected mode to Off Grid mode. There is minimum inrush observed in phase currents during this transfer which shows that the transition was seamless.

It is verified here that the response of both existing and enhanced synchronization schemes remains same when synchronizing with an ideally balanced grid.

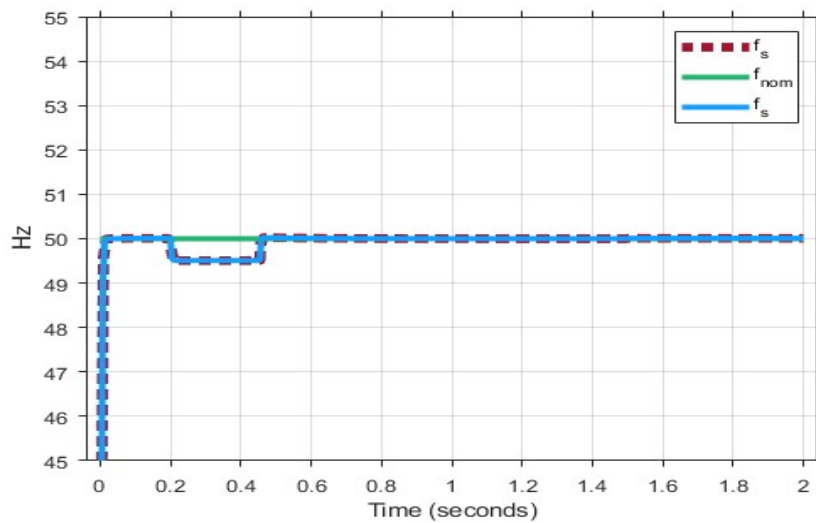


FIGURE 4.9: Synchronverter and nominal frequency

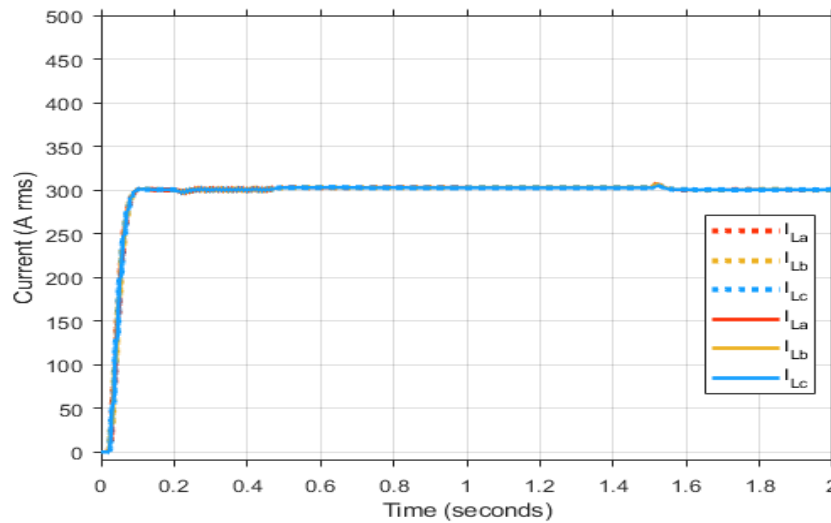


FIGURE 4.10: Local Load current

#### 4.2.2 Pre-synchronization while the Grid Voltage are Unbalanced

This scenario takes an unbalanced power grid that is simulated by a three phase programmable generator by adding negative sequences in the fundamental component ( $50Hz$ ). The negative sequence phase voltage amplitude is  $0.05puV$  with phase angle equal to  $-30deg$  and it becomes approximately 5% of the positive sequence. The parameters of unbalanced power grid for this scenario are given in Table 4.2.

TABLE 4.2: Parameters of unbalance grid for this scenario.

Grid Phase	Magnitude (RMS)	Phase Angle
Phase a	220 V	0
Phase b	231 V	-120
Phase c	209 V	-240

The magnitude of positive-sequence for this scenario was 220V while the magnitude of negative was 0.05pu i.e. 11V which corresponds to an unbalance percentage of 5%. The results of this scenario are presented in Figures 4.11 to 4.17. The simulation starts at 0sec.

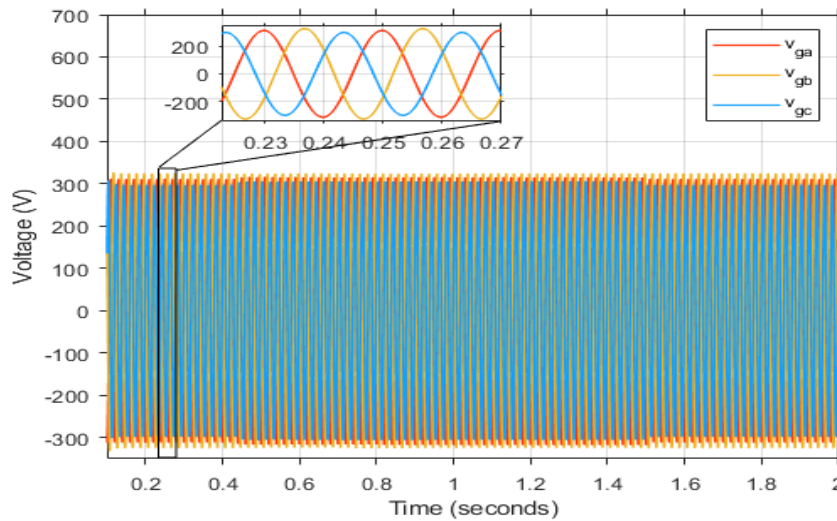


FIGURE 4.11: Grid voltages

After the transient period is over the synchronverter operates in standalone mode maintaining its frequency and voltage at nominal values for the Local load. The unbalance in grid voltage is introduced at 0.1 sec as shown in Figure 4.11. At 0.2 sec the pre-synchronization mode is triggered. The pre-synchronization process is demonstrated in Figure 4.12 which shows that as the frequency of synchronverter is reduced, the voltage difference between all phases of  $v_s$  and  $v_g$  starts decreasing.

The existing scheme achieves the pre-synchronization ( $(v_{sa} - v_{ga}) < 12V$ ) at 0.423sec whereas the proposed scheme takes 31ms more. The delay in proposed scheme is due to the fact that the magnitude of  $v_{ga}$  of unbalanced power grid was lower than its positive sequence  $v_{ga}^+$ .

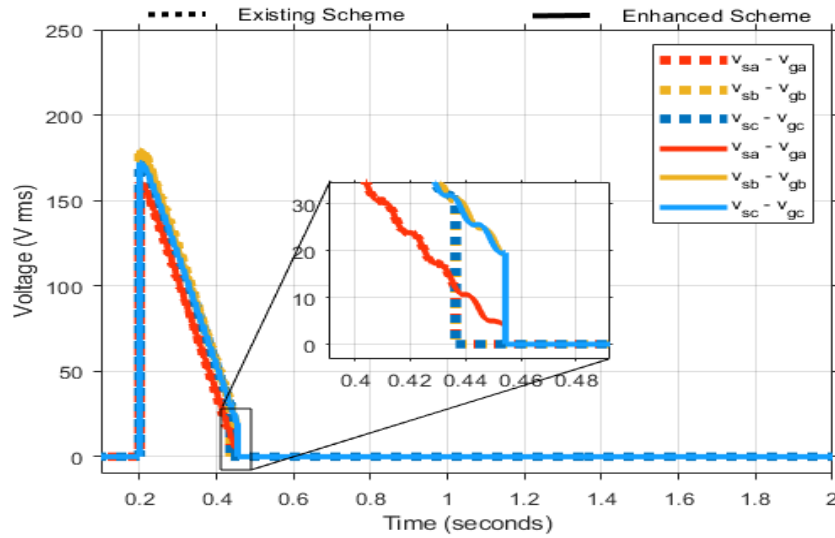


FIGURE 4.12: RMS value of instantaneous difference between synchronverter voltage and grid voltages

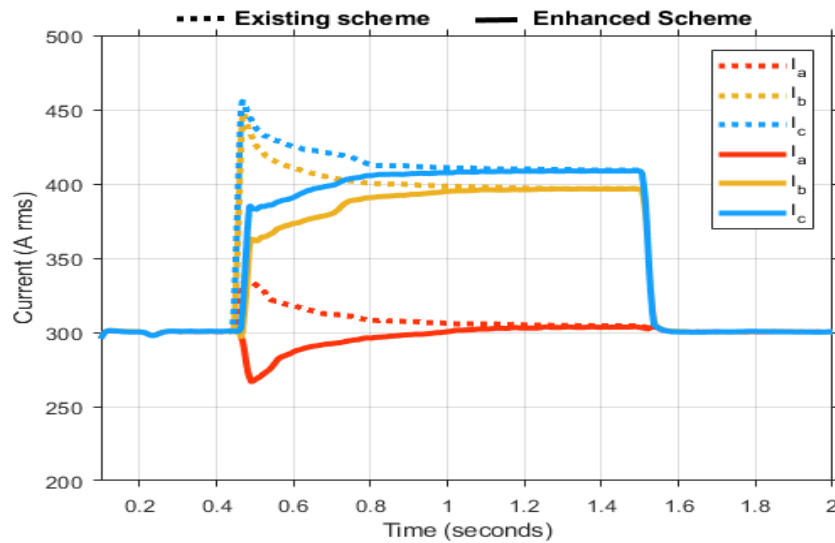


FIGURE 4.13: Synchronverter currents

It can be observed that when pre-synchronization is achieved, the difference  $v_{sb} - v_{gb}$  and  $v_{sc} - v_{gc}$  is 32V and 30V in case of existing scheme whereas the proposed scheme reduces it upto 19.2V and 18.9V respectively which is within limits of international standards of 10% or 22V for grid connection [75].

The curves of inverter current in Figure 4.13 shows that the existing scheme caused high transient currents of 450A and 460A at phase  $b$  and  $c$  which is almost 50A higher than their steady state values i.e. 400A and 412A respectively. Whereas the proposed scheme has significantly reduced the peak of transient currents. From

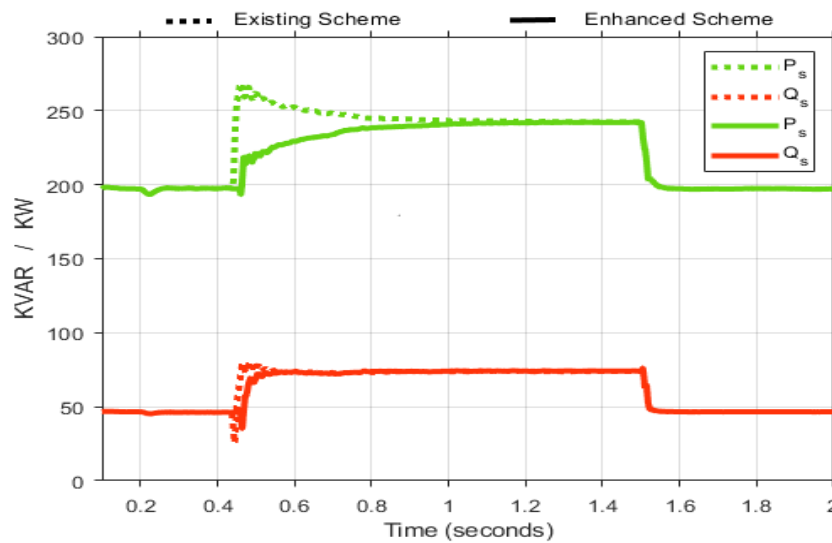


FIGURE 4.14: Real and Reactive power drawn from synchronverter

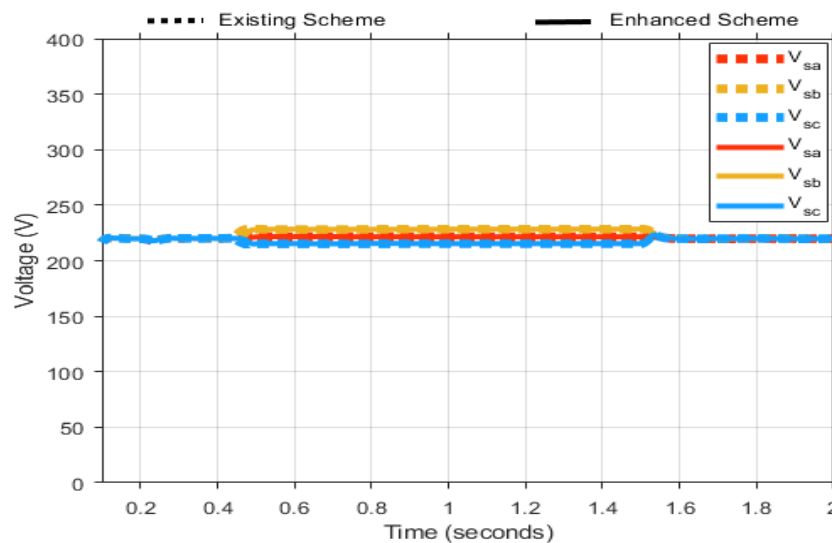


FIGURE 4.15: Synchronverter voltages

Figure 4.14 it is observed that the high transient currents produced by existing scheme caused a significant inrush in the real power  $P$  whereas the results of enhanced pre-synchronizer did not show such inrush in  $P$ .

The load rms currents in Figure 4.15 shows that during the pre-synchronization process synchronverter continues supply to the Local load. At 1.5sec, the PCC breaker was opened to test the switching of the synchronverter from grid-connected mode to Off-grid mode. The results show that both the schemes can do seamless transfer. In the context of results of this scenario the proposed scheme proved to be

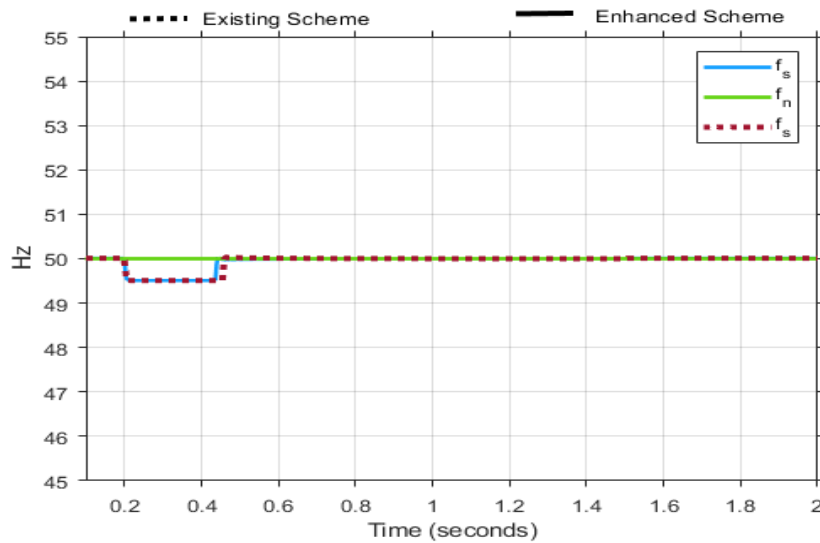


FIGURE 4.16: Synchronverter and nominal frequency

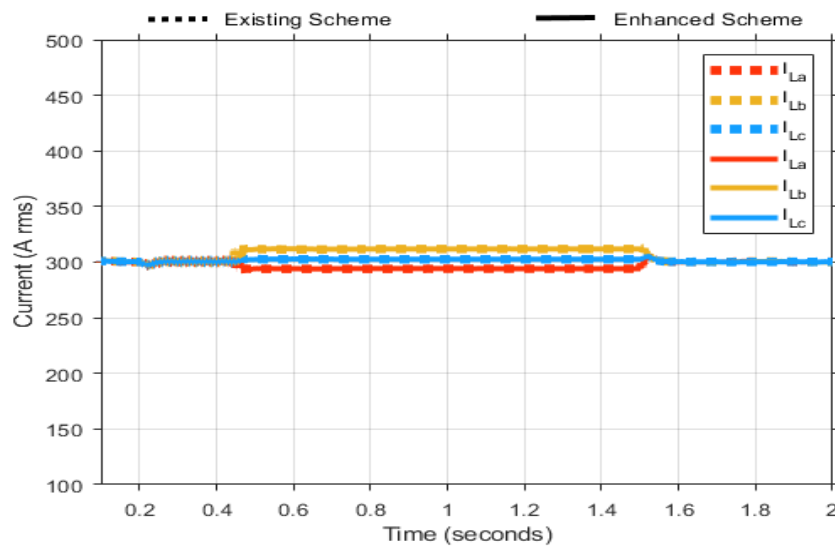


FIGURE 4.17: Local Load current

a better solution for pre-synchronization of synchronverter in practical distribution networks.

### 4.2.3 Pre-synchronization while the Grid Phase Angles are Unbalanced

In this scenario the performance of existing and enhanced pre-synchronizer is tested with a three phase grid which have equal voltage magnitude but unequal

phase difference. The unbalance in phases is generated by using three single phase AC sources connected in wye configuration. The parameters of unbalanced power grid for this scenario are given in Table 4.3.

TABLE 4.3: Parameters of unbalance grid for this scenario.

Grid Phase	Magnitude (RMS)	Phase Angle
Phase a	220 V	10
Phase b	220 V	-120
Phase c	220 V	-240

The results of this scenario are presented in Figures 4.18 to 4.24. The simulation starts at 0sec. After the transient period is over the synchronverter operates in standalone mode maintaining its frequency and voltage at nominal values for the Local load. The unbalance in grid phase is introduced at 0.1 sec as shown in Figure 4.18. At 0.2 sec the pre-synchronization mode is triggered.

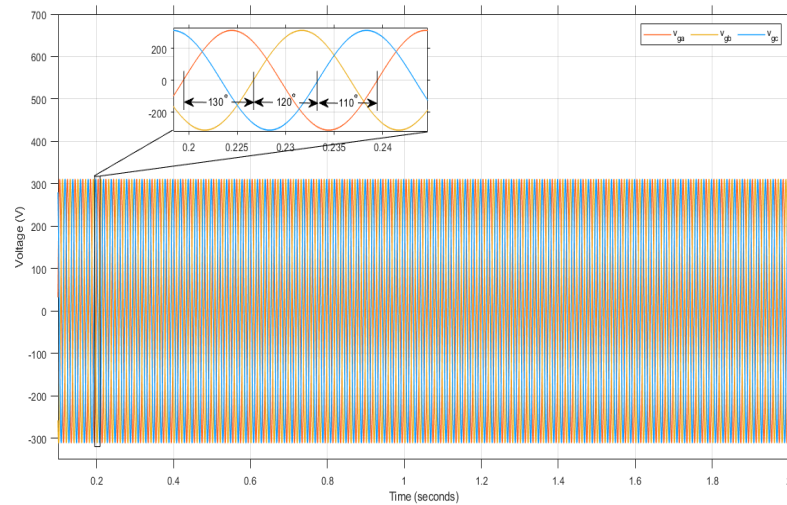


FIGURE 4.18: Grid voltages

The pre-synchronization process is demonstrated in Figure 4.19 which shows that as the frequency of synchronverter is reduced, the voltage difference between all phases of  $v_s$  and  $v_g$  starts decreasing.

The enhanced scheme achieves the pre-synchronization ( $(v_{sa} - v_{ga}) < 12V$ ) at 0.456sec whereas the existing scheme takes 35ms more. The delay in proposed scheme is due to the fact that the magnitude of  $v_{ga}$  of unbalanced power grid was higher than its positive sequence  $v_{ga}^+$ .

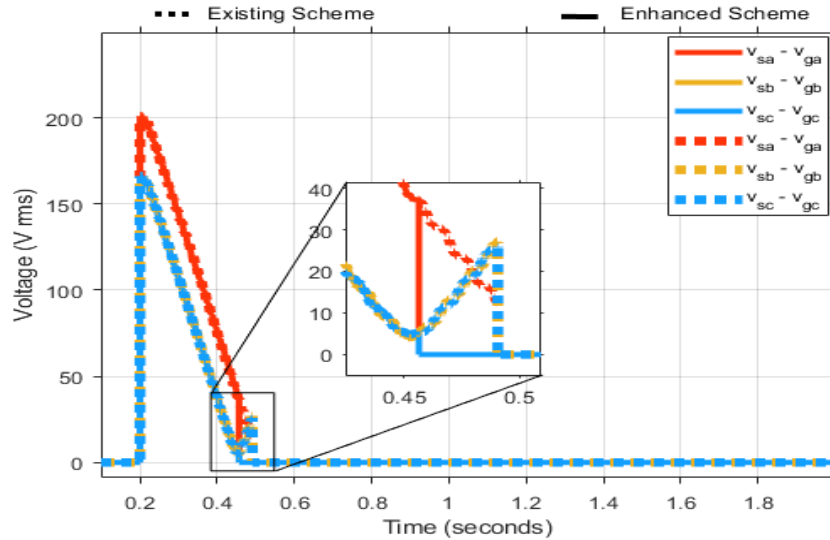


FIGURE 4.19: RMS value of instantaneous difference between synchronverter voltage and grid voltages

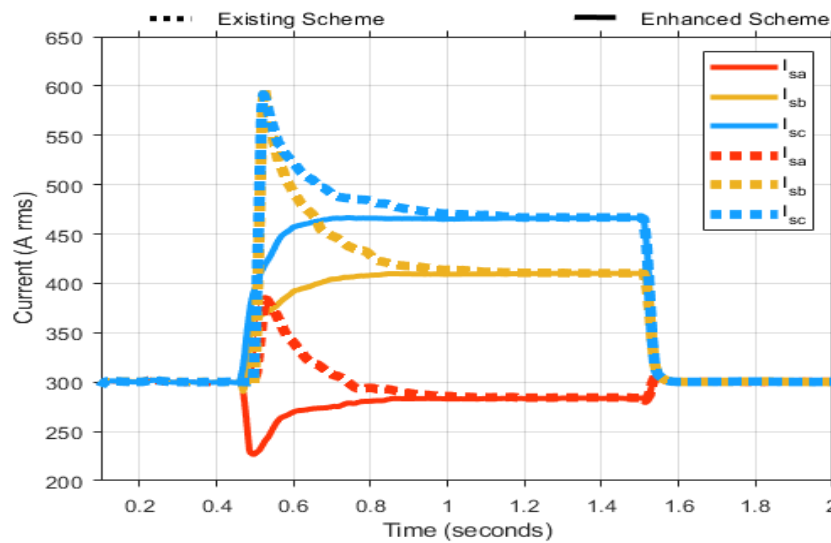


FIGURE 4.20: Synchronverter currents

The curves of inverter current in Figure 4.20 shows that on closing the PCC breaker, the existing scheme caused high transient currents of 595A and 591A at phase *b* and *c* which is almost 184A and 123A higher than their steady state values i.e. 411A and 468A respectively. This high transient current can cause over current shutdown of Synchronverter.

Whereas the proposed scheme has no transient peak in synchronverter current. From Figure 4.21 it is observed that the high transient currents produced by existing scheme caused a significant inrush in the real power  $P$  whereas the results

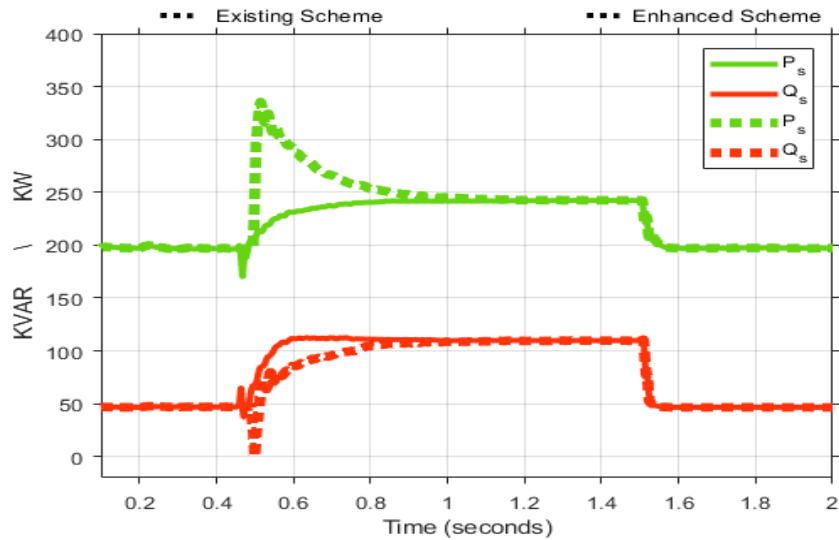


FIGURE 4.21: Real and Reactive power drawn from synchronverter

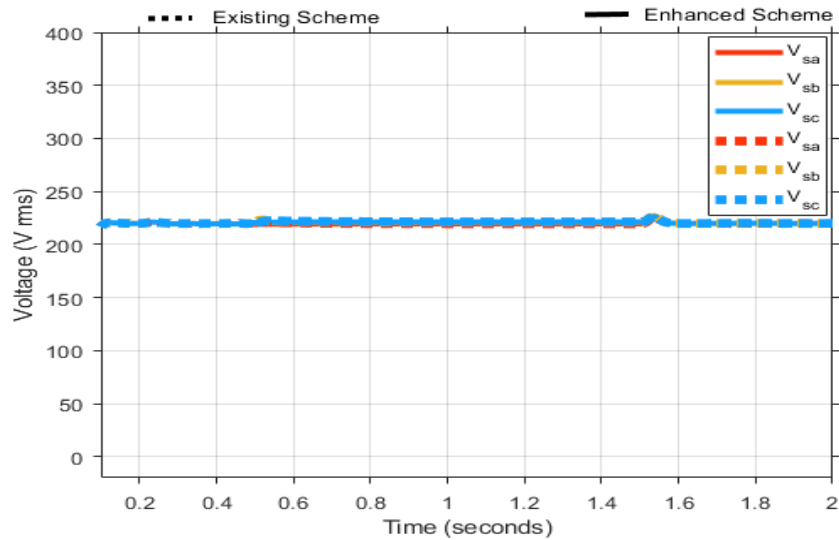


FIGURE 4.22: Synchronverter voltages

of enhanced pre-synchronizer did not show such inrush in  $P$ . The load rms currents in Figure 4.22 shows that during the pre-synchronization process synchronverter continues supply to the Local load.

At 1.5sec, the PCC breaker was opened to test the switching of the synchronverter from grid-connected mode to Off-grid mode. The results show that both the schemes can do seamless transfer. In the context of results of this scenario the proposed scheme proved to be a better solution for pre-synchronization of synchronverter in practical distribution networks where there is an unbalance in

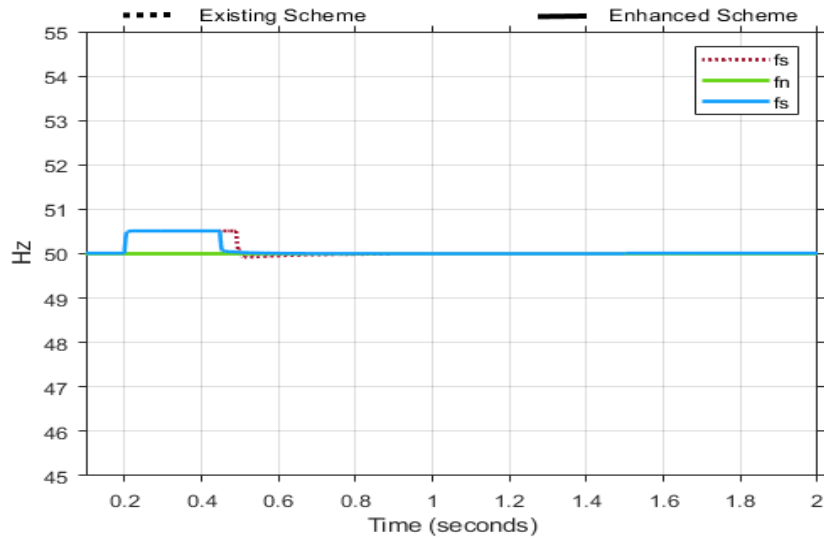


FIGURE 4.23: Synchronverter and nominal frequency

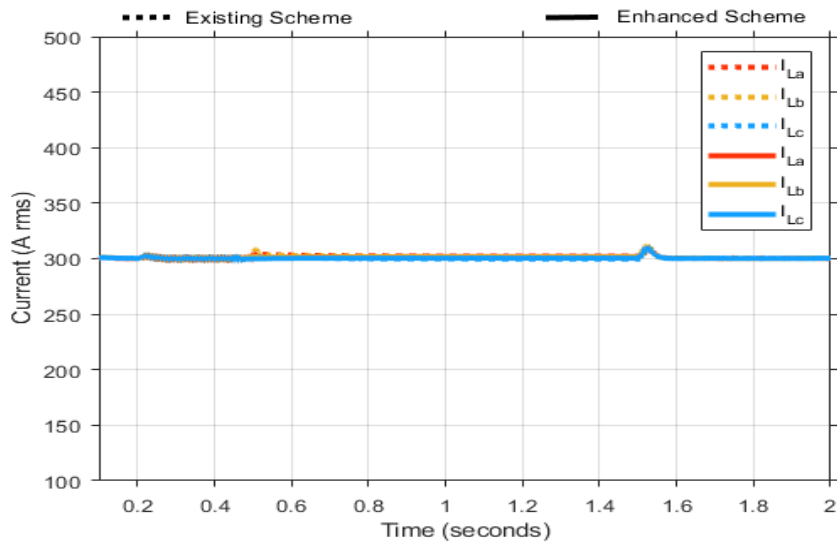


FIGURE 4.24: Local Load currents

phase angle of grid voltages.

#### 4.2.4 Pre-synchronization in Presence of an Unbalanced Sag

The sag is a most frequent power quality issue in power grid. It can be balanced or unbalanced. In this scenario, we are simulating both existing and proposed

schemes to synchronize a synchronverter with the grid in the presence of an unbalanced sag. The sag is generated during the pre-synchronization process by a programmable three-phase source on  $v_{ga}$  having duration of 5 cycles. Figure 4.25 to 4.31 shows the results of this scenario.

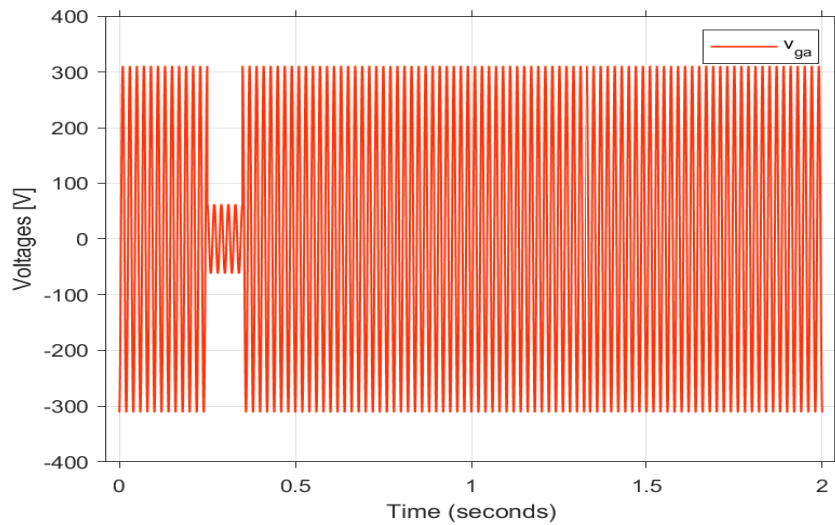


FIGURE 4.25: Grid voltages of Phase a

At 0sec the simulation starts and after the transient period has passed the synchronverter start operating in standalone mode in which it maintains its voltage and frequency at nominal values to maintain the supply for the local load.

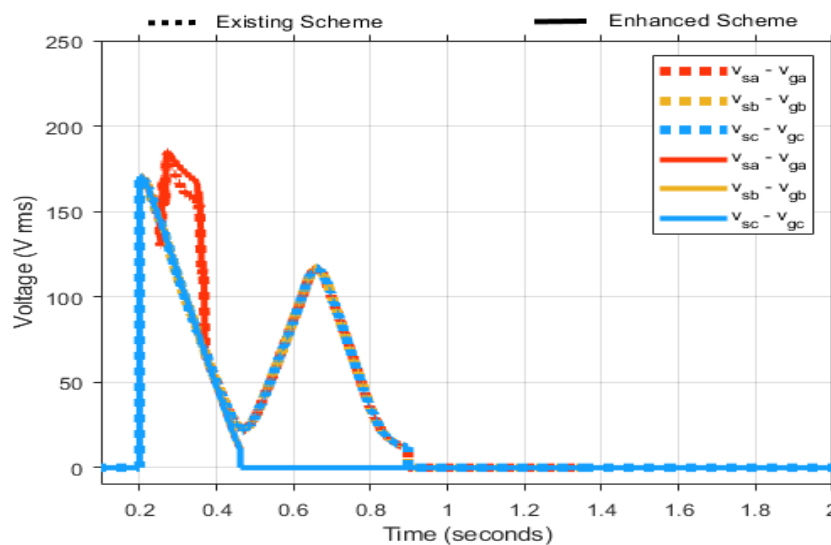


FIGURE 4.26: RMS value of instantaneous difference between synchronverter voltage and grid voltages

The pre-synchronization mode starts at 0.2 sec. As shown in Figure 4.25 the sag occurs at phase a of power grid from 0.25sec to 0.35sec i.e. 5 cycles of 50 Hz nominal frequency. The pre-synchronization process in Figure 4.26 demonstrates that the proposed scheme achieved pre-synchronization within 262 milliseconds, while the existing scheme failed to reduce the voltage difference to the threshold value of 12V.

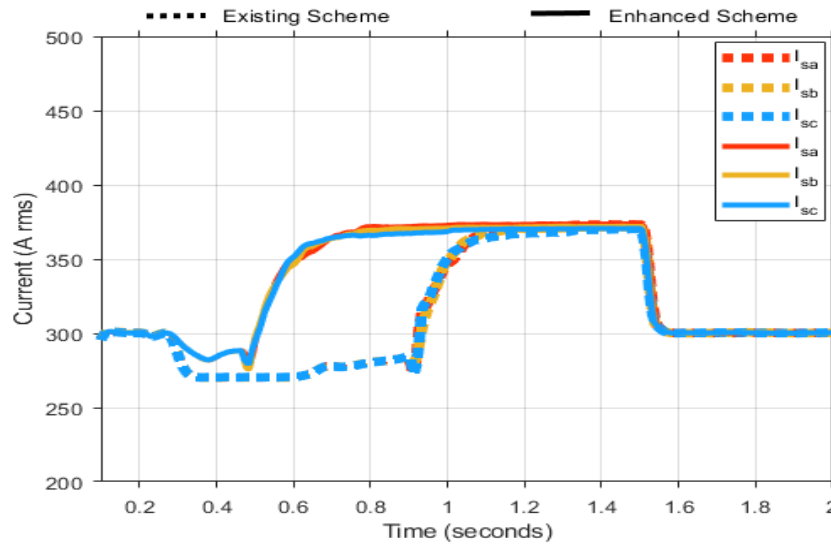


FIGURE 4.27: Synchronverter currents

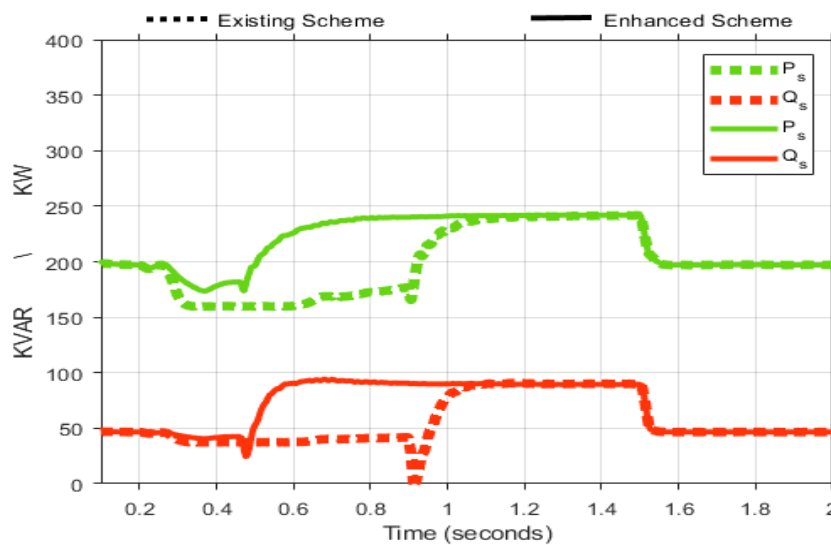


FIGURE 4.28: Real and Reactive power drawn from synchronverter

Instead, it had to repeat the pre-synchronization process when the sag was over, taking a total of 700 milliseconds to achieve pre-synchronization. As the grid is

balanced when the PCC breaker is closed, hence no transient is observed in the inverter currents which can be seen in Figure 4.27.

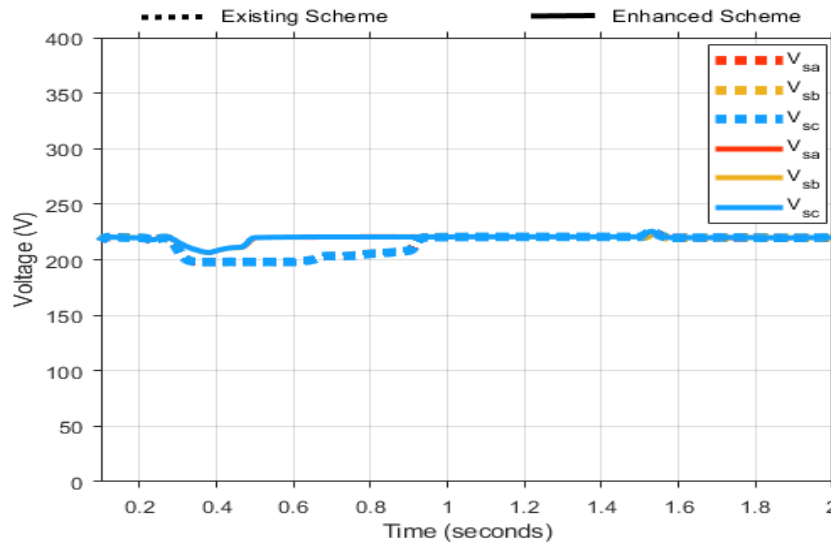


FIGURE 4.29: Synchronverter Voltages

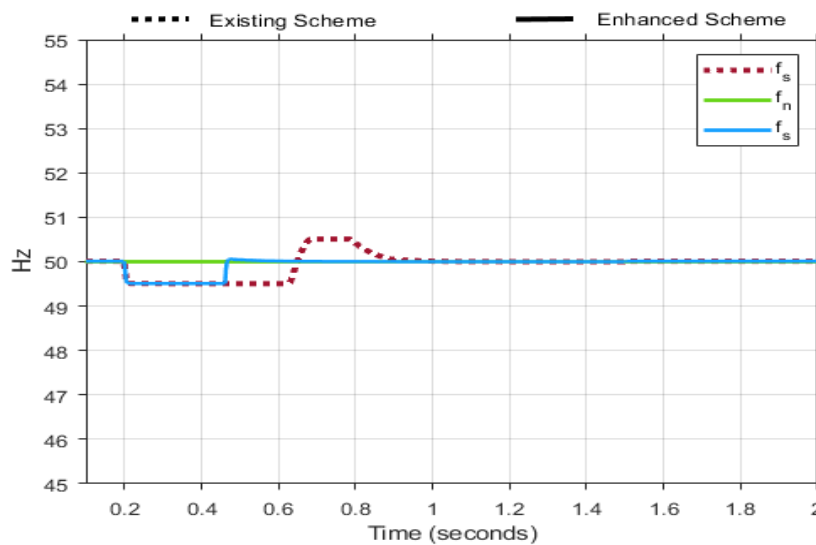


FIGURE 4.30: Synchronverter and nominal frequency

In figure 4.28 it can be observed that both schemes start transferring power to the grid when the PCC breaker closes, but as compared to existing scheme the enhanced pre-synchronizer has causes lower transients in power. The load current curves in Figure 4.29 reveal that the enhanced pre-synchronizer slightly reduces the terminal voltages of synchronverter during pre-synchronization process, whereas the existing scheme causes a significant reduction below 200V in the terminal voltages which also causes reduction in load currents. The results shows that the

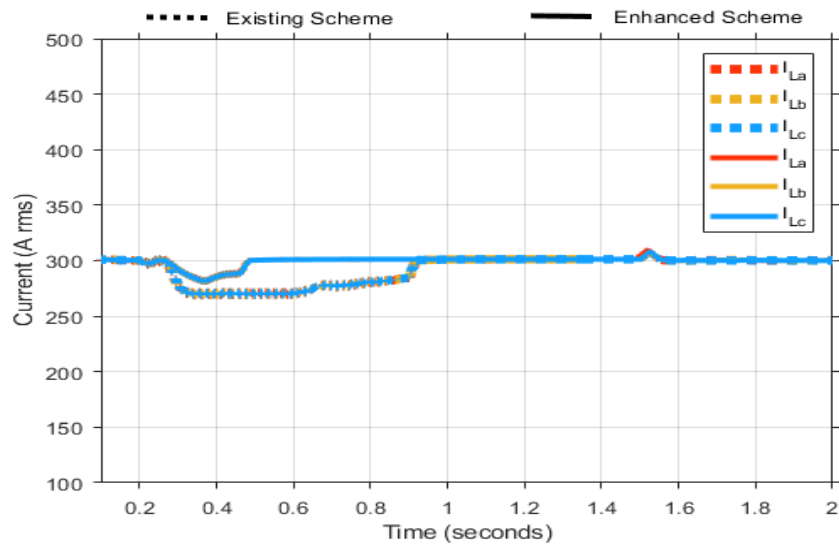


FIGURE 4.31: Local Load currents

enhanced pre-synchronizer can successfully achieve the pre-synchronization if a sag occurs during pre-synchronization whereas the existing scheme has to repeat the pre-synchronization process.

# Chapter 5

## Conclusion

In this research work, an existing Fourier Analysis based PLL-Less self-synchronizer has been enhanced to achieve seamless pre-synchronization of synchronverter with an unbalanced grid. The enhanced pre-synchronizer is designed and tested in Simulink/MATLAB 2023 in different scenarios of power grid. The enhanced pre-synchronizer gives an improved performance as described in following points.

1. The enhanced pre-synchronizer can achieve a hot-seamless synchronization with a balanced as well as unbalanced power grid
2. As compared to existing scheme, the enhanced pre-synchronizer can reduce the voltage difference at PCC, during pre-synchronization if the power grid has an unbalance in its voltage. This reduced voltage difference gives the advantage of reduced inrush in synchronverter current and power.
3. If the power grid has a phase angle imbalance among its phases, the enhanced pre-synchronizer completely removes the high inrush in synchronverter currents when the PCC breaker is closed. While the existing scheme produces very high inrush currents which can cause over current tripping of synchronverter.
4. The enhanced pre-synchronizer has a capability of achieving faster pre-synchronization while an unbalanced sag occurs in power grid.

Thus after the modification, the existing FA-based PLL-Less pre-synchronizer has become capable to achieve a hot-seamless pre-synchronization of synchronverter with unbalanced power grid.

## 5.1 Future Directions

In future , this research can be extended in many directions as discussed below.

- The performance of the synchronverter can be assessed by connecting solar panels or other renewable resources to the DC side of the synchronverter, instead of using an ideal DC source as was done in this research.
- The results obtained during this research work are simulations based. For concrete results, the performance of synchronverter with enhanced pre-synchronizer can be tested on an experimental setup.
- In some applications, it is required to run small rated inverters in parallel. Therefore, the performance of enhanced pre-synchronizer can be evaluated for parallel operation of synchronverters in a weak grid.
- The synchronverter is being used in microgrids as a grid forming converter for grid pre-synchronization where it has to operate with other type of inverters. Therefore, the synchronverter with enhanced pre-synchronizer can be evaluated in Microgrids for pre-synchronization with an unbalanced grid.

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